



**U.S. Department of Justice**

*United States Attorney  
Northern District of California*

1301 Clay Street, Suite 340S  
Oakland, California 94612

(510) 637-3680  
Fax: (510) 637-3724

January 27, 2020

Gary S. Lincenberg, Esq.  
Ariel A. Neuman, Esq.  
Bird, Marella, Boxer, Wolpert, Nessim,  
Drooks, Lincenberg & Rhow, P.C.  
1875 Century Park East, 23rd Floor  
Los Angeles, California 90067-2561

Re: *United States v. Chamberlain*  
Case No. CR 18-577 CRB

Dear Counsel:

Pursuant to your request for discovery, enclosed please find three Addonics drives containing the materials listed on the attached Index of Documents Produced dated January 27, 2020.

Among other materials, this production includes (a) witness statements governed by 18 U.S.C. § 3500, including, but not limited to, grand jury testimony governed by Fed. R. Crim. P. 6(e), SEC investigative testimony, and other sworn witness statements, and (b) other witness statements, including, but not limited to, FBI Form 302 reports of witness interviews (with attached exhibits) and other third party reports of witness interviews, obtained or created by the government. The government designates these as Protected Materials” within the meaning of the Stipulation and Order dated January 23, 2020.

The government will make available for your inspection any item of evidence referred to in the enclosed reports and documents, as well as any other evidence seized from your client and/or which the government intends to offer in its case-in-chief. Please contact me to arrange a mutually convenient time for your inspection of such items.

The enclosed materials and any future discovery provided to you which may exceed the scope of discovery mandated by the Federal Rules of Criminal Procedure, federal statute, or relevant case law is provided voluntarily and solely as a matter of discretion. By producing such materials to you, the government does not waive its right to object to any future discovery requests beyond the ambit of its legal obligations. We reject any suggestion that the criminal local rules, including Criminal Local Rule 16-1(c), serve as valid authority for any substantive discovery obligations beyond that required under the applicable federal statutes and rules (e.g., Rule 16 and Jencks).

Notice Re: FRE 404(b), 608, 609

The government also hereby gives notice that it may seek to introduce the other crimes, wrongs or acts committed by defendant which are referenced in the enclosed documents pursuant to Rules 404(b), 608 and/or 609 of the Federal Rules of Evidence.

Request for Reciprocal Discovery

With this letter the government requests all reciprocal discovery to which it is entitled under Federal Rules of Criminal Procedure 16(b) and (c) and 26.2, including, but not limited to, the following:

1. Inspection and/or copies of all books, papers, documents, photographs, tangible objects, or portions thereof in the possession, custody, or control of the defendant and which the defendant intends to introduce as evidence in his case-in-chief at trial.

2. Inspection and/or copies of the results of any reports of physical or mental examinations and of scientific tests or experiments made in connection with the above-entitled case within the possession or control of the defendant which the defendant intends to introduce as evidence in his case-in-chief at trial or which have been prepared by a witness whom the defendant intends to call at trial.

3. Inspection and/or copies of all statements made by all witnesses whom the defendant intends to call at trial.

4. A written summary of any testimony that the defendant intends to use under Rules 702, 703, or 705 of the Federal Rules of Evidence as evidence at trial.

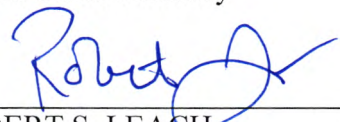
Request for Notice of Defenses

The government also requests notice of any intention of your client to rely on an entrapment defense or a defense involving mental condition or duress, and/or an alibi defense for the charged offenses

Please contact me if you have any questions concerning the foregoing.

Very truly yours,

DAVID L. ANDERSON  
United States Attorney

  
\_\_\_\_\_  
ROBERT S. LEACH  
Assistant United States Attorney

Encl: Index of Documents Produced dated January 27, 2020  
Three Addonics drives

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
US_FBI E-00027556	US_FBI E-00027643	FBI	Additional interview/reports from FBI		AU_0064_B
USAO-EMAIL 051919	USAO-EMAIL 052004	USAO	Additional USAO email communications		BAT0241
HP-SEC-02340578	HP-SEC-02340672	Hewlett-Packard	Additional documents from HP regarding ASL		BAT0244
MLAT_AU 00017004	MLAT_AU 00017263	UK	Additional documents produced by UK		BAT0241
USAO_NOTES 20000434	USAO_NOTES 20000435	USAO	Additional USAO notes		Available for review @ USAO
FBI_NOTES 00002894	FBI_NOTES 00002901	FBI	Additional FBI interview notes		Available for review @ USAO
USAO_JS-000001	USAO_JS-000254	Joel Scott	Documents received from Joel Scott		HPA_20180326
HPE-JFS-00001	HPE-JFS-00091	Gibson & Dunn	Documents received regarding J. Schultz		BAT0260
US_ATT 00065	US_ATT 00174	AT&T	Phone records for (703) 863-6962		BAT0240
MLAT_AU 00017001	MLAT_AU 00017003	UK	Additional documents produced by UK		BAT0241
USAO-EMAIL 051849	USAO-EMAIL 051918	USAO	Additional USAO email communications		BAT0241
HP-SEC-02337805	HP-SEC-02337825	Hewlett-Packard	Documents from HP regarding ASL		HP-SEC-202
HP-SEC-02337826	HP-SEC-02340542	Hewlett-Packard	Documents from HP related to AU's former CEO, Michael Lynch		HP-SEC-208
N/A	N/A	Hewlett-Packard	Documents from HP regarding Autonomy/M. Lynch transactions		Federal Government (Compsec) [5596-ANA]; IBM - Ameriprise [5970-ANA]
FBI_NOTES 00002885	FBI_NOTES 00002893	FBI	Additional FBI interview notes		Available for review @ USAO
US_FBI E-00027500	US_FBI E-00027508	FBI	Additional interview/reports from FBI		AU_0064
USAO 00003189	USAO 00003190	USAO	Proffer Agreement, Joel Scott		BAT0239
HP-SEC-02337774	HP-SEC-02337804	MLB	August 2011 Federal Cloud proposal related to MicroTech		HP-SEC-207
US_FBI E-00027495	US_FBI E-00027496	FBI	Additional interview/reports from FBI		AU_0064
US_FRRP 000038	US_FRRP 000039	FRRP	Financial reporting review regarding David Lindsell		BAT0244
USAO-EMAIL 051744	USAO-EMAIL 051848	USAO	Additional USAO email communications		BAT0239_B
US_FBI E-00026745	US_FBI E-00027494	FBI	Additional interview/reports from FBI		AU_0064_A
FBI 000053720		FBI	Additional document from FBI		AU_0063
FBI_NOTES 00002834	FBI_NOTES 00002884	FBI	Additional FBI interview notes		Available for review @ USAO
USAO_NOTES 20000428	USAO_NOTES 20000433	USAO	Additional USAO notes		Available for review @ USAO
FBI_NOTES 00002773	FBI_NOTES 00002833	FBI	Additional FBI interview notes		Available for review @ USAO
FBI 000053708	FBI 000053719	FBI	Additional documents from FBI		AU_0063
USAO 00003185	USAO 00003188	USAO	Proffer Agreement		BAT0239_A
US_FBI E-00026484	US_FBI E-00026744	FBI	Additional interview/reports from FBI		AU_0064
US_ATT 00001	US_ATT 00064	AT&T	Phone records for (703) 624-5167		BAT0240
USAO-EMAIL 051670	USAO-EMAIL 051686	USAO	Additional USAO email communications (AAR)		BAT0239_A
US_FBI E-00026289	US_FBI E-00026483	FBI	Additional interview/reports from FBI		AU_0062
US_FBI E-00026282	US_FBI E-00026288	FBI	Additional interview/reports from FBI		AU_0062
USAO 00003177	USAO 00003179	USAO	HP News - Léo Apotheker Named CEO and President of HP		BAT0239
USAO 00003180	USAO 00003181	USAO	Proffer Agreement, William Loomis		BAT0239
USAO 00003182		USAO	Text message via Messenger		BAT0239
USAO 00003183	USAO 00003184	USAO	Proffer Agreement, Joel Scott		BAT0239
MS-HP-SEC-00017885	MS-HP-SEC-00017885	Morgan Stanley	Spreadsheet, Autonomy-Zantaz Digital Safe Service, Proposed Morgan Stanley Worksheet		BAT0239
HP-SEC-02313689 - HP-SEC-02314929 - HP-SEC-02332652 -	HP-SEC-02314927; HP-SEC-02317134; HP-SEC-02337748	Hewlett-Packard	Additional documents from HP regarding Barclays PLC		HP-SEC-02313689 - HP-SEC-02314927; HP-SEC-02314929 - HP-SEC-02317134; HP-SEC-02332652 - HP-SEC-02337748
HP-SEC-02332635	HP-SEC-02332651	Hewlett-Packard	Additional documents from HP regarding ASL		BAT0244

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
USAO_NOTES 20000422	USAO_NOTES 20000427	USAO	Additional USAO notes		Available for review @ USAO
USAO-EMAIL 051613	USAO-EMAIL 051669	USAO	Additional USAO email communications		BAT0239
US FBI E-00024876	US FBI E-00026281	FBI	Additional interview/reports		AU_0062
FBI 000053664	FBI 000053707	FBI	Additional documents from FBI		AU_0061_A
HP-SEC-02317153	HP-SEC-02319596	Hewlett-Packard	Documents from HP regarding ASL		HP-SEC-02317153 - HP-SEC-02319596
HP-SEC-02319597	HP-SEC-02324846	Hewlett-Packard	Additional documents from HP regarding ASL		HP-SEC-02319597 - HP-SEC-02324846
HP-SEC-02324847	HP-SEC-02327596	Hewlett-Packard	Additional documents from HP regarding ASL		HP-SEC-02324847 - HP-SEC-02327596
HP-SEC-02327597	HP-SEC-02332634	Hewlett-Packard	Additional documents from HP regarding ASL		HP-SEC-02324847 - HP-SEC-02327596; HP-SEC-02327949 - HP-SEC-02330981; HP-SEC-02330982 - HP-SEC-02332634
SM_SFO_00000001	SM_SFO_00037842	UK	Additional documents produced by UK (Format: .PDF, MP4, XLS, WP)		2017-12-18 (MLAT)
FBI 200005962	FBI 200006608	FBI	Additional FBI communications		HPAutonomy20180209
USAO-EMAIL 051340	USAO-EMAIL 051612	USAO	Additional USAO email communications		BAT0238
FBI 000052935	FBI 000053663	FBI	Additional documents from FBI re Garner		AU_0061
US FBI E-00024049	US FBI E-00024875	FBI	Additional interview/reports		AU_0061
FBI NOTES 00002669	FBI NOTES 00002688	FBI	Additional interview notes		Available for review @ USAO
USAO_NOTES 20000411	USAO_NOTES 20000421	USAO	Additional USAO notes		Available for review @ USAO
FBI 000052807	FBI 000052934	FBI	Investor letter canvasses for April & May 2017 **included in 2/9/18 production**		AU_0060
DT-AS2 00323	DT-AS2 00340	Deloitte	Additional documents extracted from Deloitte laptop		BAT0227_A
FBI 000052520	FBI 000052806	FBI	Documents related to Garner_Thomas		AU_0060
US FBI E-00023953	US FBI E-00024048	FBI	Additional interview/reports		AU_0059_A
USAO-EMAIL 051278	USAO-EMAIL 051351	USAO	Additional USAO email communications		BAT0234_A
USAO 00003112	USAO 00003138	USAO	Additional miscellaneous correspondence		BAT0234_A
FBI NOTES 00002648	FBI NOTES 00002668	FBI	Additional interview notes		Available for review @ USAO
USAO_NOTES 20000409	USAO_NOTES 20000410	USAO	Additional interview notes		Available for review @ USAO
USAO MAZARS 000001	USAO MAZARS 000117	Mazars	Mazars Presentation		BAT0234
USAO-EMAIL 051186	USAO-EMAIL 051277	USAO	Addition USAO email communications		BAT0234
HP-SEC-02314928		Hewlett-Packard	Additional document received from HP		.PDF
USAO-EMAIL 051105	USAO-EMAIL 051185	USAO	Additional USAO email communications		BAT0233
USAO 00003093	USAO 00003111	USAO	Additional miscellaneous correspondence		BAT0233
USAO-SEC-EPROD-003764532	USAO-SEC-EPROD-003764949	SEC	Additional documents received from SEC		USAO-SEC-EPROD_20180117
USAO_NOTES 20000403	USAO_NOTES 20000408	USAO	Additional USAO notes		Available for review @ USAO
USAO-EMAIL 028086	USAO-EMAIL 051104	USAO	Additional USAO email communications		HPA_RSL20180109
SEC-W 000001	SEC-W 001069	SEC	Documents produced by SEC relating to WB		BAT0232
HP-SEC-02166029	HP-SEC-02166034	Hewlett-Packard	Summary of revenue adjustments, Q1 2009 and Q2 2011		HP-SEC-02166029 - HP-SEC-02166034
HP-SEC-02166035	HP-SEC-02166190	Hewlett-Packard	Additional materials regarding certain software transactions		HP-SEC-193
HP-SEC-02166191	HP-SEC-02313620	Hewlett-Packard	Certain software transactions by Autonomy prior to acquisition by HP		HP-SEC-194
DT-AS2 00001	DT-AS2 00322	Deloitte	Documents extracted from Deloitte laptop		BAT0226; BAT0227

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
USAO 00003046		USAO	Summary of Top 40 Customer Revenue List		BAT0217_A
HP-SEC-02313621	HP-SEC-02313688	Hewlett-Packard	Company Employee Handbook for Autonomy		HP-SEC-02313621 - HP-SEC-02313688
USAO-EMAIL 027010	USAO-EMAIL 027074	USAO	Additional USAO e-mail communications		BAT0228
FBI_NOTES 00002474	FBI_NOTES 00002647	FBI	Additional interview notes received from FBI		Available for review @ USAO
US_FBI E-00022081	US_FBI E-00023952	FBI	Additional reports/interviews from FBI		AU 0059
COVERLETTERS			Additional coverletters		BAT0217_A
USAO-EMAIL 027075	USAO-EMAIL 028085	USAO	Additional USAO email communications		EML1218
USAO_NOTES 20000378	USAO_NOTES 20000402	USAO	Additional USAO notes		Available for review @ USAO
USAO 00003047	USAO 00003092	USAO	Additional miscellaneous documents		BAT0229
UK_LITIGATION - HDD8		Hewlett-Packard	Documents produced in the UK litigation relating to Autonomy		UK_LITIGATION - HDD8
UK_LITIGATION - DVD3		Hewlett-Packard	Documents produced in the UK litigation relating to Autonomy		UK_LITIGATION - DVD3
MLAT_AU 00010974	MLAT_AU 00016965	UK	Additional documents produced by UK		HP_MLAT20171117
HP-SEC-02160925	HP-SEC-02165816	Hewlett-Packard	Documents pertaining to Q2 2011 transaction with Autonomy and Dell/Hyatt.		HP-SEC-190
UK_LITIGATION - USB2		Hewlett-Packard	Documents produced in the UK litigation relating to Autonomy		UK_LITIGATION - USB2
HP-SEC-02165817	HP-SEC-02165947	Hewlett-Packard	Capax Discovery		HP-SEC-B-028
HP-SEC-02165948	HP-SEC-02166028	Hewlett-Packard	Documents provided to UK Financial Reporting Council		HP-SEC-02165948 to HP-sec-02166028
COVERLETTERS			Additional coverletters		BAT0206_A
MLAT_AU 00016966	MLAT_AU 00017000	UK	Additional documents produced by UK		BAT0225
MAZ 000001	MAZ 000383	Mazars	Documents cited in Brice report		BAT0223
HP-SEC-01844061	HP-SEC-01845605	Hewlett-Packard	Presentation binder		BAT0222
MLAT_AU 00010755	MLAT_AU 00010973	UK	Additional documents produced by UK		BAT0219
USAO-SEC-EPROD-003750307		SEC	Documents received from SEC		Available for review at USAO
USAO-SEC-EPROD-003751196		SEC	Documents received from SEC		Available for review at USAO
HP-SEC-02160363	HP-SEC-02160371	Hewlett-Packard	Correspondences		BAT0214
HP-SEC-02160372	HP-SEC-02160517	Hewlett-Packard	Documentation of certain software transactions		BAT0214
HP-SEC-02160518	HP-SEC-02160924	Hewlett-Packard	Witness interviews by Proskauer Rose of Andy Gersh/Kevin Asher		BAT0218
JEF000303	JEF000474	Jeffries & Peter Mizek	Analyst reports		BAT0215
FBI 200004205	FBI 200005945	FBI	Additional FBI communications		HPAUTONOMY20170321
FBI 200005946	FBI 200005961	FBI	Additional FBI communications		AU 0057
FBI 100010164	FBI 100010173	FBI	Additional records from FBI		AU 0057
USAO 00003043		USAA	Letter from USAA		BAT0217
USAO 00003044	USAO 00003045	USAO	Proffer Agreement		BAT0217
USAO_NOTES 20000208	USAO_NOTES 20000377	USAO	Additional USAO notes		Available for review @ USAO
US_SEC_EX 00010076	US_SEC-EX 00010137	SEC	Additional SEC exhibits		BAT0216
EMC-GJ-000707		EMC Corporation	List of shipment dates		EMC-GJ-003
COVERLETTERS			Additional coverletters		BAT0217
COVERLETTERS			Additional miscellaneous coverletters		BAT0206
FBI NOTES 00000001	FBI NOTES 00002447	FBI	Interview notes received from FBI		Available for review @ USAO
US_FBI E-00021957	US_FBI E-00022080	FBI	Additional interview/reports		AU 0052_C
USAO_NOTES 20000113	USAO_NOTES 20000207	USAO	Additional USAO notes		Available for review @ USAO
USAO_NOTES 20000001	USAO_NOTES 20000112	USAO	USAO notes		Available for review @ USAO
USAO-EMAIL 025283	USAO-EMAIL 027009	USAO	Additional USAO e-mail communications		HPA_AAR20170831: BAT0212

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
USAO 00002815	USAO 00003030	USAO	Miscellaneous documents		BAT0208
USAO 00003031	USAO 00003042	USAO	Proffer		BAT0204
N/A	N/A	Deloitte	Two laptops with AS/2 software and Deloitte workpapers		Available for review at USAO
USAO-DTLLP-000003	USAO-DTLLP-000020	Deloitte	Settlement Agreement		BAT0204
SEC NOTES 00000001	SEC NOTES 0000942	SEC	Interview notes received from SEC		Available for review at USAO
SEC-SFRO-E-0000001	SEC-SFRO-E-0002081	SEC	Documents received from SEC		BAT0205
HP-SEC-01580270	HP-SEC-01580291	Hewlett-Packard	Documents received from HP		BAT0210
HP-SEC-02151309	HP-SEC-02160362	Hewlett-Packard	Witness interviews by MLB, Proskauer Rose		BAT0210
HP-AB-00001685	HP-AB-00004369	Hewlett-Packard	Documents produced by HP		BAT0201 A
US CC-SJ 00000763		Clifford & Chance	Correspondence		BAT0208
US-SH-00000120	US-SH-00000121	Simmons&Simmons	Correspondence		BAT0208
US-CE-000001	US-CE-000056	Various	Miscellaneous documents relating to Stouffer Egan		BAT0208
US-RCF-000001	US-RCF-000069	Robert C. Fitzwilson	Robert Fitzwilson documents		BAT0208
JEFF000001	JEFF000302	Jefferies & Peter Mizek	Documents received from Jefferies & Peter Mizek		BAT0209
COVERLETTERS			Additional, miscellaneous coverletters		BAT0196 A
HP-SEC-02099903	HP-SEC-02099921	Hewlett-Packard	"Application of Revenue Policies and work performed"		2017-06-29 (NATIVES)
HP-SEC-02099922	HP-SEC-02100146	Hewlett-Packard	Witness interviews by MLB, Proskauer Rose		BAT0198
HP-SEC-02100147	HP-SEC-02139721	Hewlett-Packard	Documents pertaining to numerous Autonomy software transactions from Q1 2009 through Q2 2011.		HP-SEC-188
HP-SEC-02139722	HP-SEC-02139988	Hewlett-Packard	Communications between HP and its representatives and the Air Force		BAT0199
HP-SEC-02139989	HP-SEC-02140433	Hewlett-Packard	Witness interviews by MLB, Proskauer Rose		BAT0199
NUM 006095	NUM 006117	Numis Securities	Business records of Numis		BAT0202
HP-SEC-02140434	HP-SEC-02141239	Hewlett-Packard	Witness interviews by MLB, Proskauer Rose		BAT0199
HP-SEC-02141240	HP-SEC-02141245	Hewlett-Packard	"Application of Revenue Policies and work performed"		HP-SEC-02141240 - SEC-02141245 HP-
HP-SEC-02141246	HP-SEC-02141251	Hewlett-Packard	Additional materials prepared by Chris Yelland		HP-SEC-02141246 - SEC-02141251 HP-
HP-SEC-01545143	HP-SEC-01545210	Hewlett-Packard	Additional materials prepared by Chris Yelland		BAT0199
HP-AB-00000001	HP-AB-00001684	Hewlett-Packard	Documents produced by HP		BAT0201
UK_LITIGATION - USB1		Hewlett-Packard	Documents produced in the UK litigation relating to Autonomy		UK_LITIGATION - USB1
USAO-SEC-EPROD-003753173	USAO-SEC-EPROD-003764531	SEC	Email correspondence between SEC and third parties and representatives of those parties		USAO-SEC-EPROD_20170726
HP-SEC-02141252	HP-SEC-02142111	Hewlett-Packard	Witness interviews by MLB and Proskauer Rose LLP		BAT0203
HP-SEC-02142112	HP-SEC-02151308	Hewlett-Packard	Documents pertaining to numerous Autonomy software transactions from Q1 2009 through Q2 2011.		HP-SEC-189
COVERLETTERS		COVERLETTERS	Miscellaneous coverletters		BAT0192
HP-POC 00000490	HP-POC 000007823	Hewlett-Packard	Draft HP's Particulars of Claim related to UK Litigation		BAT0190
HP-SEC-01962451	HP-SEC-01991252	Hewlett-Packard	Documents pertaining to numerous AU software transactions from Q1 2009 to Q2 2011		HP-SEC-184

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01991253	HP-SEC-02099468	Hewlett-Packard	Documents pertaining to numerous AU software transactions from Q1 2009 to Q2 2011		HP-SEC-185
HP-SEC-02099469	HP-SEC-02099517	Hewlett-Packard	Documents pertaining to numerous AU software transactions from Q4 2008 to Q3 2011		HP-SEC-187
HP-SEC-02099518	HP-SEC-02099902	Hewlett-Packard	Written actual summaries and accompanying exhibits of witness interviews conducted by MLB and Proskauer Rose LLP		HP-SEC-02099518 - HP-SEC-02099902
MLAT_AU 00010092	MLAT_AU 00010754	UK	Documents produced by UK		BAT0195
SEC_ENF 00000001	SEC_ENF 00002114	SEC	Documents received from SEC		BAT0194
UK_LITIGATION - HDD7		Hewlett-Packard	Documents produced in the UK litigation relating to Autonomy		UK_LITIGATION - HDD7
US-KVN-00000038	US-KVN-00000219	Keker & Van Nest	Documents produced by Keker & Van Nest for settlement purposes		BAT0189
USAO 00002646	USAO 00002722	USAO	Miscellaneous emails/correspondence		BAT0182
USAO 00002723	USAO 00002810	USAO	Printed article from Autonomy.org		BAT0189
USAO 00002811	USAO 00002814	USAO	Audio messages/recordings from third parties		BAT0189
USAO-EMAIL 022346	USAO-EMAIL 025282	USAO	Additional USAO e-mail communications		EML0614
US-SFO 00000926	US-SFO 00000932	Deloitte	Letter dated 2/28/14 from Deloitte to HP Autonomy		BAT0196
USAO-SEC-EPROD-003749580	USAO-SEC-EPROD-003750306	SEC	Documents received from SEC		USAO-SEC-EPROD_20170623
USAO-SEC-EPROD-003750308	USAO-SEC-EPROD-003751195	SEC	Documents received from SEC		USAO-SEC-EPROD_20170623
USAO-SEC-EPROD-003751197	USAO-SEC-EPROD-003753172	SEC	Documents received from SEC		USAO-SEC-EPROD_20170623
ABT-SEC-000001	ABT-SEC-000154	Abbott Labs	Contracts, amendments and other documents between Abbott and Autonomy responsive to Requests 1, 2 and 8.	SEC-FBI-000638666 - SEC-FBI-000281684	HP_Autonomy003
ABT-SEC-000155	ABT-SEC-000710	Abbott Labs	Emails and attachments responsive to Requests 3-5	SEC_2-000000002 - SEC_2-000000531	SF-03853
RIZEK000001	RIZEK000026	Alan Rizek	Cellphone records for period 12/25/2009 - 11/11/2010		HP-Autonomy22
AM0000001	AM0005753	Amgen	Kimberly Rhodes communications; docs re accounting treatment/journal entries/contracts; contracts, purchase orders, sales orders, agreements between Autonomy/Amgen	SF-03853-AUSA-00035367 - SF-03853-AUSA-00411682 SF-03853-AUSA-00010910 - SF-03853-AUSA-00010909 SF-03853-AUSA-00027228 - SF-03853-AUSA-00054604	HP_AUTONOMY001
AM0005754	AM0009089	Amgen	Custodian data for Meier; due diligence documents; custodian data for Susan Terry and Andrew Hodgins	SF-03853-AUSA-00411674 - SF-03853-AUSA-00790420 SF-03853-AUSA-00345130 - SF-03853-AUSA-00722670	HP_AUTONOMY002
SEC-Amulet-E-0000001	SEC-Amulet-E-0179456	Amulet Hotkey	Documents re transactions between Amulet Hotkey and Autonomy where Amulet purchased Dell hardware for resale through Autonomy between October 15, 2010 and May 2, 2012.	SEC-FBI-000141585 - SEC-FBI-000177452	HP_Autonomy003
LA-SEC0000001	LA-SEC0015388	Apotheker, Leo	Documents produced by Leo Apotheker.	SEC-FBI-000635230 - SEC-FBI-000277985 (random within this range)	HP_Autonomy003
LA-SEC0015389	LA-SEC0017521	Apotheker, Leo	Documents produced by Leo Apotheker.	SEC_2-000777631 to 000779646	SF-03853
LA-SEC0017522	LA-SEC0018289	Apotheker, Leo	Documents produced by Leo Apotheker.	SEC_2-000779647 - SEC_2-000780414	SF-03853



January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
US-AWR 00000001	US-AWR 00004279	Argy, Wiltse & Robinson, P.C.	Audit papers		HP_Autonomy004 - 005
SEC-ARGY-P-0000001	SEC-ARGY-P-0004790	Argy, Wiltse & Robinson, P.C. (now BDO)	Tax related material with respect to work performed by Argy for Discover Tech	SEC_2-001469526 SEC_2-001473685	SF-03853
SEC-ARGY-E-0000001	SEC-ARGY-E-0046966	Argy, Wiltse & Robinson, P.C. (now BDO)	Documents relating to Argy accounting system with regard to billings and time charged: 1) MicroTech Invoice, Payment 2009 - 2011 SUMMARY; 2) MicroTech Time by Category 2009 - 2011 SUMMARY	SEC_2-001422560 - SEC_2-001469525	SF-03853
BA 00001	BA 00192	Ariko, Barry	Documents produced by Barry Ariko	SEC-AUSA4-000000001 - SEC-AUSA4-000000193	SF-03853
US-ATT 00000001	US-ATT 00003157	AT&T	Telephone records, subscriber's informantion for Autonomy, Inc.		HP-Autonomy32
US-ATT 00003158	US-ATT 00003227	AT&T	Manish Sarin phone records		BAT0053
MLAT_AU 00009392	MLAT_AU 00010091	Bailiwick of Guernsey	Documents produced by Bailiwick of Guernsey		BAT0180
BANA_MLI_HPAUTO000001	BANA_MLI_HPAUTO000819	Bank of America	2011 deal docs from s-drive in U.S. and agreements and financial materials	SF-03853-AUSA-00039555 - SF-03853-AUSA-00039923	HP_AUTONOMY001
BANA_MLI_HPAUTO000820	BANA_MLI_HPAUTO035850	Bank of America	Emails/non-email electronic docs from s-drives: Vincent (Vince) Debban; Thomas Marinelli; Richard Ingrassia; Rosemary Archie; Reagan Smith; Amy Elliott; Carol Korn-Smith; Earl Ronald (Ron) Johnson.	SEC-FBI-000401899 - SEC-FBI-000432587	HP_Autonomy003
BANA_MLI_HPAUTO035851	BANA_MLI_HPAUTO036166	Bank of America	Custodian emails and non-email electronic documents from shared drives for the US review, which are responsive to the term "SHI"	SEC-FBI-000401899 - SEC-FBI- 000432587	HP_Autonomy003
BANA_MLI_HPAUTO036167	BANA_MLI_HPAUTO036775	Bank of America	1) bank account statements in the name of FileTek for the period of January 1, 2009 to December 31, 2011; 2) Excel file containing all wire activity for the FileTek account ending in 8774.	SEC-AUSA4-000000194 - SEC-AUSA4-000000899	SF-03853
BANA_MLI_HPAUTO036776	BANA_MLI_HPAUTO036873	Bank of America	Financial statements provided by FileTek to Bank of America from 2009 to 2011	SEC-AUSA4-000000194 - SEC-AUSA4-000000899	SF-03853
BANA_MLI_HPAUTO037074	BANA_MLI_HPAUTO037080	Bank of America	Native files, spreadsheet containing purchases by BoA of Dell hardware from SHI.		BAT0155
BANA_MLI_HPAUTO037081	BANA_MLI_HPAUTO037092	Bank of America	E-mail communications in response to GJ subpoena for custodians: Reagan Smith, Tom Marinelli, Vince Debban, Carol Korn-Smith, Gina Cavallo Arter, Tyler Lowe, Chad Browning, Michael Montijo, Rein Hofstra		BANA_MLI_HPAUTO_VOL03
BARC-HP_00000001	BARC-HP_00000225	Barclays	Agreements: Barclays re HP/Autonomy acquisition	SF-03853-AUSA-00053542 - SF-03853-AUSA-00053496	HP_AUTONOMY001
BARC-HP_00000226	BARC-HP_00019780	Barclays	Custodian records for Michael Carter, Avinash Patel, Wayne Kawarabayashi and Shailesh Murali.	SF-03853-AUSA-00400538 - SF-03853-AUSA- 00785055	HP_AUTONOMY002
BARC-HP_00019781	BARC-HP_00056990	Barclays	Custodian files for Michael Carter, Avinash Patel, Wayne Kawarabayashi, Shailesh Murali, plus a CD with a privilege log; E-communication records for Michael Carter, Avinash Patel, Wayne Kawarabayashi and Shailesh Murali.	SEC-FBI-000539651 - SEC-FBI-000544951; SEC-FBI-000389572; SEC-FBI-000544911: (within these bates range)	HP_Autonomy003
US-BARCLAYS-0000001	US-BARCLAYS-0000090	Barclays Capital, Inc	Letter from Barclays Capital Inc. forwarding selected documents produced to SEC in response to 12/18/12 subpoena.		BAT0077



January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
BARC-FX-TD-00000994	BARC-FX-TD-00000995	Barclays Capital, Inc.	Documents received via GJ Subpoena		Barclays_12092016
BB000001	BB000302	Bloomberg	(1) contracts responsive to Request# 1 (BB00000 1-BBOOO 115), and (2) purchase orders responsive to Request #1 (BB000116-BB000302).	SEC-FBI-000521323 - SEC-FBI-000523394	HP_Autonomy003
SEC-BLOOMBERG-E-0000001		Bloomberg	Spreadsheet entitled "Purchasing Documents by Document Number" listing the purchase orders responsive to Request #1 of the subpoena	SEC-FBI-000141549	HP_Autonomy003
BLP-HPA-0000001	BLP-HPA-0000002132	Bloomberg, L.P.	No description in cover letter	SEC_2-000023774 - SEC_2-000025901	SF-03853
BMO0000001	BMO0034354	BMO Harris Bank	Docs regarding BMO/Bunty Oberoi		BMO_GJS001 - 003
BNYM0000001	BNYM0000017	BNY Mellon	No description in cover letter	SEC-FBI-000638663 - SEC-FBI-000281590	HP_Autonomy003
BNYMDH0000001	BNYMDH0000897	BNY Mellon	Files from custodian: Steven Ginsberg;	SEC-FBI-000413147 - SEC-FBI-000413238; SEC-FBI-000000344 - SEC-FBI-000000590	HP_Autonomy003
BNYMJG0000001	BNYMJG0000163	BNY Mellon	Joanna Graper	SEC-FBI-000000369 - SEC-FBI-000413543; SEC-FBI-000492672 - SEC-FBI-000492253	HP_Autonomy003
BNYMJO0000001	BNYMJO0000339	BNY Mellon	Jennifer Oakes	SEC-FBI-000492267 - SEC-FBI-000086495	HP_Autonomy003
BNYMSG0000001	BNYMSG0000261	BNY Mellon	Files from custodian: Steven Ginsberg;	SEC-FBI-000000370 (random bates --- see also SEC-FBI-000413277	HP_Autonomy003
BNYMVA0000001	BNYMVA0001114	BNY Mellon	Vincent Azarcon	SEC-FBI-000492769 (random bates --- see also SEC-FBI-000086171	HP_Autonomy003
BANA_MLI_HPAUTO036888		BoA	Corrected invoice (re Autonomy invoice # 10709-ANA		BAT0151
BANA_MLI_HPAUTO036889	BANA_MLI_HPAUTO037073	BoA			BAT0118
BANA_MLI_HPAUTO036876	BANA_MLI_HPAUTO036887	BoA, Tom Marinelli	Corporate Audit Investigations, Summary of Investigation		BAT0045
US-DT 00000001	US-DT 00000050	Bradley Arant Boult Cummings, LLP	Response to Show Cause Letter by counsel for Discover Technologies, LLC		BAT0074
US-BC 0000001	US-BC 0000158	Brean Capital	Documents received via GJ Subpoena		BAT0163
BHOGE000001	BHOGE000334	Brent Hogenson	Documents and communications in Mr. Hogenson's possession, custody, or control relating to Autonomy	SF-03853-AUSA-00239171 - SF-03853-AUSA-00620299; SF-03853-AUSA-00342702 - SF-03853-AUSA-00342716	HP_AUTONOMY002
BHOGE000335	BHOGE004911	Brent Hogenson	Documents and communications in Mr. Hogenson's possession, custody, or control relating to Autonomy	SEC-FBI-000130059 - SEC-FBI-000539644; SEC-FBI-000000008 - SEC-FBI-000000012; SEC-FBI-000771134 - SEC-FBI-000413058	HP_Autonomy003
CAP16000000	CAP16000280	CAPAX	Documents produced by Capax		BAT0091

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
CapaxAcctSpadone0000000 BOA0000001 CapaxDKO0000001 CapaxFSA0000001 CapaxKraft0000001 CapaxLLY0000001 CapaxML0000001 CapaxMcAfee0000001 CapaxTKU0000001 CapaxUBS0000001	CapaxAcctSpadone0000038 Capax BOA0000222 CapaxDKO0000222 CapaxFSA0000217 CapaxKraft0000065 CapaxLLY0000488 CapaxML0000048 CapaxMcAfee0000123 CapaxTKU0000295 CapaxUBS0001765	Capax Discovery	Responsive docs for 1 of 10 transactions	SEC-FBI-000677554 - SEC-FBI-000317848	HP_Autonomy003
CapaxAcctSpadone0001000	CapaxAcctSpadone0049036	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000677554; SEC-FBI-000317808;	HP_Autonomy003
CAPAXBOA0001001	CAPAXBOA0001759	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000673325; SEC-FBI-000312483;	HP_Autonomy003
CapaxDKO0001001	CapaxDKO0001701	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000675808; SEC-FBI-000312495;	HP_Autonomy003
CapaxFSA0001001	CapaxFSA0001822	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000676002; SEC-FBI-000314692; SEC1-000005023;	HP_Autonomy003 OR SF-03853
CapaxKraft0001001	CapaxKraft0001422	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000312863; SEC-FBI-000676211;	HP_Autonomy003
CapaxLLY0001001	CapaxLLY0003408	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000315076; SEC1-000005131;	HP_Autonomy003 OR SF-03853
CapaxMcAfee0001001	CapaxMcAfee0001404	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000313532; SEC-FBI-000674836; SEC1-000005194;	HP_Autonomy003 OR SF-03853
CapaxML0001001	CapaxML0002321	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000313605; SEC1-000005241;	HP_Autonomy003 OR SF-03853
CapaxSupp0000000	CapaxSupp0001178	Capax Discovery	No description in cover letter beyond additional documents responsive to SEC email request.	SEC_2-000025906 - SEC_2-000027084	SF-03853
CapaxTXU0001001	CapaxTXU0003164	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000674986; SEC-FBI-000313705;	HP_Autonomy003
CapaxUBS0001001	CapaxUBS0001765	Capax Discovery	Responsive docs for 10 transactions from custodians John Baiocco, Jerry Hawk, Dave Spadone, and Steven Williams.	SEC-FBI-000677385; EC1-000005260; SEC-FBI-000317602;	HP_Autonomy003 OR SF-03853
SEC-CARDINAL-P-0000001	SEC-CARDINAL-P-0003199	Cardinal Bank	1) available financial statements provided by Micro Tech between 2009-present; 2) documents relating to any lines of credit or loans issued by Cardinal to Micro Tech from 2009 to the present; 3) bank statements for any accounts held by Micro Tech at Cardinal Bank from 2009 to the present.	SEC_2-001474241 - SEC_2-001477439	SF-03853
SEC-USAO-P-0000001	SEC-USAO-P-0000276	Cardinal Bank	Additional bank records for checking account number 506-002-5052, Repo account number 601-600-2773 and line of credit account number 1090955301	SEC-AUSA4-000215911 - SEC-AUSA4-000215983	SF-03853

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
US-CARD 00000001	US-CARD 00001960	Cardinal Bank	Docs relating to commercial line of credit held in the name of Micro Technologies; Docs relating to consumer loan held in the name of Anthony Jimenez and with Micro Technologies as guarantor.		HP_Autonomy006
CLESJ000001	CLESJ000801	Catherine Lesjak	Documents responsive to request numbers 1 and 2 in subpoena.	SEC-FBI-000338136 - SEC-FBI-000700642	HP_Autonomy003
CLESJ000802	CLESJ002057	Catherine Lesjak	Docs responsive to subp req #s 1 & 2	SEC-FBI-000519802 - SEC-FBI-000520941	HP_Autonomy003
CLESJ002058	CLESJ002094	Catherine Lesjak	E-copies of certain hardcopy documents responsive to request numbers 1 and 2 in subpoena	SEC-FBI5-000000001- SEC-FBI5-000000041	SEC-FBI5-20151001
CLESJ002095	CLESJ002098	Catherine Lesjak	No description in cover letter	SEC-AUSA4-000001677 - SEC-AUSA4-000001680	SF-03853
SCH 000001	SCH 000194	Charles Schwab	Brokerage Statements from Nigel Upton		BAT0179
SEC-CHARLES-E-0000001	SEC-CHARLES-E-0000012	Charles Schwab	Records and information primarily provided by the affiliate Charles Schwab Investment Management, Inc. (CSIM) engaged in management of the Schwab proprietary funds.	SEC-AUSA5-EPROD-000723185 - SEC-AUSA5-EPROD-000723196	SEC-AUSA5-EPROD_20150424
US-CH 00000001	US-CH 00000776	Choate Hall	Documents related to Deloitte		HP-Autonomy13
CKW0000001	CKW00000230	Chris Cooke	No description in email	SEC-FBI-000303534; SEC-FBI-000664864; (random within these range)	HP_Autonomy003
SEC-COOKE-E-0000231	SEC-COOKE-E-0000243	Chris Cooke	Fully Executed Confidential Settlement Agmt and Release for Tejada	SEC_2-001477440 - SEC_2-001477452	SF-03853
CSEC 0000001	CSEC 0007310	Citadel	Citadel securities documents related to the purchase of securities of Hewlett Packard from 8/18/11 to 8/19/11		BAT0116
SURV0001	SURV0014	Citadel	Documents in response to GJ subpoena		BAT0115
CITI000001	CITI000113	Citigroup	Docs responsive to requests 1 and 6	SF-03853-AUSA-00016104	HP_AUTONOMY001
CITI000114	CITI000401	Citigroup	Docs responsive to requests 7-9 and 14	SF-03853-AUSA-00014425 - SF-03853-AUSA-00042928	HP_AUTONOMY001
CITI000402	CITI000509	Citigroup	Nine internal Citi "procurement summaries"	SF-03853-AUSA-00418276 - SF-03853-AUSA-00826376	HP_AUTONOMY002
CITI000510	CITI003346	Citigroup	Email from: Christopher Wren, Richard Newman, Jan Skarbek, and Charles Lytle; responsive to Requests 7, 12 and 13.	SEC_2-000027193 - SEC_2-000030029	SF-03853
CITI003347	CITI003348	Citigroup	Spreadsheets relating to Citigroup's accounting treatment for the purchase of hardware from Autonomy; responsive to Request 4.	SEC-FBI-000412286 - SEC-FBI-000770268	HP_Autonomy003
CITI003349	CITI005897	Citigroup	Emails from the year 2009 of five custodians: Ian Adams, Robert Ampaw, Joseph Angelucci, Tom Bachrach, and Philip Shen	SEC-FBI-000672774 - SEC-FBI-000311255	HP_Autonomy003
CITI005898	CITI006663	Citigroup	Emails from the year 2009 of five custodians: Ian Adams, Robert Ampaw, Joseph Angelucci, Tom Bachrach, and Philip Shen.	SEC-FBI-000296158 - SEC-FBI-000296305	HP_Autonomy003

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
CITI006664	CITI014682	Citigroup	Docs responsive to Reqs #2 and #3	SEC-FBI-000515217 - SEC-FBI- 000115627	HP_Autonomy003
CITI014683	CITI040536	Citigroup	Docs responsive to Reqs #2 and #3: emails from Ampaw, Angelucci, Bachrach, and Shen.	SEC-FBI-000104794 - SEC-FBI- 000104767	HP_Autonomy003
CITI040537	CITI0049946	Citigroup	Documents produced by Citigroup.	SEC_2-000030030 - SEC_2-000039439	SF-03853
CITI049947	CITI056816	Citigroup	Documents from the following five US custodians: Joseph Curcurato, Louise D'Angelo, Luis Davila, William Marengo, and Jennifer White.	SEC-AUSA5-EPROD-000000001 - SEC-AUSA5-EPROD-000006870	SEC-AUSA5-EPROD_20150424
		Citigroup	Privileged log.	SEC_2-001477610 SEC_2-001478066 SF-03853-AUSA-00420224	SEC_2_20140131
US_CC-SJ 00000001	US_CC-SJ 00000744	Clifford & Chance	Presentation to USAO		BAT0126
US_CC-SJ 00000745	US_CC-SJ 00000762	Clifford & Chance	Letter, follow-up from April 6, 2016 meeting		BAT0172
CMA0000001	CMA0000593	Comerica Bank	Autonomy bank records.		BAT0153
CMA0000594	CMA0003148	Comerica Bank	Autonomy bank records.	SEC-AUSA4-000001681 - SEC-AUSA4-000004235	SF-03853
COVERLETTERS		COVERLETTERS	Miscellaneous coverletters		BAT0181
COVERLETTERS		COVERLETTERS	Miscellaneous coverletters		BAT0109_A & BAT0170
CSSU-000001	CSSU-000288	Credit Suisse	Research reports prepared by Credit Suisse on Autonomy for period Q12009 through Q2 2011.		HP-Autonomy25
CSSU-000555	CSSU-000624	Credit Suisse	Research Reports		BAT0178
CSSU-000625	CSSU-000627	Credit Suisse	Bluesheet data		BAT0178
CSSU-HP00000001	CSSU-HP00012203	Credit Suisse	Documents and communications relating to a September 28, 2009 transaction between Credit Suisse and Autonomy		HP_Autonomy003
Cronin0000001	Cronin0015978	Cronin, John	1)Emails between and among Cronin and any of these names from 2009-present: Dave Truitt; Tim Wharton; Malcolm Hyson; Steve Truitt; Anthony Jimenez; Tomas Esterrich; Alan Rizek; Stouffer Egan; Andrew Kanter; Joel Scott; Jim Crumbacher; Mike Mooney; Steve Chamerlain; Mike Lynch; Sushovan Hussain; 2) documents relating to transactions between and among the four entities (Autonomy, Micro Tech, Discover Tech, and ink) between 2009-2011.	SEC_2-000039526 - SEC_2-000055503	SF-03853
SEC-001-00000001	SEC-001-00000001	Dell	Spreadsheet	SF-03853-AUSA-00051634 - SF-03853-AUSA-00051726	HP_AUTONOMY001
SEC-002-00000001	SEC-002-00000138	Dell	Email/attachments from Roscoe, Markham, Fritcher, Warthen, and Kent. Also Agreement between Dell Marketing, LP and Autonomy, Inc.	SF-03853-AUSA-00019166 - SF-03853-AUSA-00022122	HP_AUTONOMY001
SEC-003-00000001		Dell	Spreadsheet: Dell/Autonomy transactions outside U.S. from 1/1/09 to present	SF-03853-AUSA-00055353 - SF-03853-AUSA-00058863	HP_AUTONOMY001
SEC-004-00000001	SEC-004-00000034	Dell	Agreements between Dell Marketing, LP and Autonomy, Inc.	SF-03853-AUSA-00417936 - SF-03853-AUSA- 00796739	HP_AUTONOMY001

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
SEC-005-00000001	SEC-005-00002861	Dell	Email from custodians Gregory Kent, Timothy Fritcher, Roy Markham, and Craig Warthen.	SF-03853-AUSA-00826541 - SF-03853-AUSA-00827004	HP_AUTONOMY001
SEC-006-00000001	SEC-006-00030400	Dell	Email from Kent, Fritcher, Markham, Hoffman, Warthen, Ybarra, De Leon, Renfro, Cooper, and Langley. Also, Documents from Dell's Salesforce Chatter network	SF-03853-AUSA-00826030 - SF-03853-AUSA-00417406	HP_AUTONOMY002
SEC-007-00000001	SEC-007-00008695	Dell	Email from Thomas, Roscoe, Kent, and Cooper.	SF-03853-AUSA-00787396 - SF-03853-AUSA- 00789725	HP_AUTONOMY002
SEC-008-00000001	SEC-008-00004321	Dell	Email from custodian Jason Renfro	SF-03853-AUSA-00721396 - SF-03853-AUSA-00721093	HP_AUTONOMY002
SEC-009-00000001	SEC-009-00004903	Dell	E-mail/attachments from custodians Enrique Ybarra, Carolyn Langley, Craig Irons, and Julie Cooper (see SEC-009-00000001 through 58) and e-docs from custodians Enrique Ybarra, Carolyn Langley, Craig Warthen, Brett Roscoe, Craig Irons, Glen Hoffman, Roy Markham, Julie Cooper, Jason Renfro, Darren Thomas, and Lisa De Leon (see SEC-009-00000059 through 4902)	SF-03853-AUSA-00620305 - SF-03853-AUSA-00621300	HP_AUTONOMY002
SEC-010-00000001	SEC-010-00012594	Dell	E-mail/attachments, e-docs	SF-03853-AUSA-00060372 - SF-03853-AUSA-00066474	HP_AUTONOMY002
SEC-011-00000001	SEC-011-00020570	Dell	E-mail/attachments and e-docs from custodians: De Leon, Gainer, Irons, Khosrowpour, Langley, and Ybarra , and electronic documents from Dell's Microsoft Sharepoint document management system.	SEC-FBI-000437764 - SEC-FBI-000031614	HP_Autonomy003
SEC-012-00000001	SEC-012-00001628	Dell	E-mail and associated attachments from custodians Farzad Khosrowpour, Lisa De Leon, Mike Gainer, Julie Cooper, Brett Roscoe, Craig Irons, Roy Markham, Timothy Fritcher, and Darren Thomas (see SEC-012-00001001 through SEC-012-00001627) and electronic documents from custodians Craig Warthen, Gregory Kent, and Darren Thomas (see SEC-012-00000001 through SEC-012-00001000.	SEC-FBI-000437615; SEC-FBI-000437726	HP_Autonomy003
SEC-013-00000001	SEC-013-00000002	Dell	Additional metadata from prior productions		BAT0205
SEC-014-00000001	SEC-014-00000997	Dell	Electronic documents from custodians Dave Trotter and Charles Meister (SEC-014-00000001-24) and e-mail and associated attachments from custodians Robert Barris and Charles Meister (SEC-014-00000025-996)	SEC-FBI-000019618 - SEC-FBI-000019864	HP_Autonomy003
SEC-015-00000001	SEC-015-00007837	Dell	Electronic documents from custodian Barron Brooks (SEC-015-00000001-104) and e-mail and associated attachments from custodians Barron Brooks, Tom Carroll and Michael Faughnan (SEC-015-00000105-7837)	SEC-FBI-000002694 to SEC-FBI-000004885	HP_Autonomy003
SEC-016-00000001	SEC-016-00000006	Dell	Dell document production	SEC_2-000055504 - SEC_2-000055509	SF-03853

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
SEC-017-00000001	SEC-017-00011595	Dell	E-docs from custodians Carroll, Faughnan and Trotter (SEC-017-00000001-1442) and e-mail/attachments from custodians Barriss, Brooks, Carroll, Carlisle, Dolan, Harper and Faughnan (SEC-017-00001443-11594)	SEC_2-001329975 - SEC_2-001341568	SF-03853
SEC-018-00000001	SEC-018-00012826	Dell	Electronic documents from custodians Mike Bruff, Tom Carroll, Thomas Carlisle, Tom Deegan, Michael Faughnan, Tavy Lohrey, Bob Page, Adam Papp, Andrew Preston, Kayla Shell, and Dave Trotter (see SEC-0 18-00000001 through SEC-0 18-00000886) and e-mail and associated attachments from custodians Robert Barris, Baron Brooks, Mike Bruff, Tom Carroll, Thomas Carlisle, Andrew Chubick, Tom Deegan, Michael Faughnan, Steven Gaeta, Ryan Grametbauer, Joal Harper, Mark King, Charles Meister, Bob Page, Adam Papp, Jim Parker, Andrew Preston, Ben Ribaudo, William Rodrigues, Kayla Shell, Dave Trotter, and Steven VanMetre (SEC-018-00000887 through SEC-018-00012825)	SEC_2-001341569 - SEC_2-001354393	SF-03853
SEC-019-00000001	SEC-019-00008182	Dell	Electronic documents from custodians Ben Ribaudo and Maggie Dolan (see SEC-019-00000001 through SEC-019-00000256) and e-mail and associated attachments from custodians Robert Barris, Mike Bruff, Thomas Carlisle, Tom Carroll, Maggie Dolan, Michael Faughnan, Steven Gaeta, Allen Hull, Mark King, Maya McReynolds, Mark Mostaffa, Adam Papp, Kay la Shell, and Steven VanMetre (see SEC-019-00000257 through SEC-019-00008182).	SEC_2-001354394 - SEC_2-001370757	SF-03853
SEC-020-00000001	SEC-020-00008295	Dell	Electronic documents from custodians Maggie Dolan, Mark Mostaffa, and Ben Ribaudo (see SEC-020-00000001 through SEC-020-00000425) and e-mail and associated attachments from custodians Maggie Dolan, Mark Mostaffa, and Adam Papp (see SEC-020-00000426-SEC-020-00008295)	SEC_2-001370758 - SEC_2-001378976	SF-03853
SEC-021-00000001	SEC-021-00007536	Dell	Electronic documents from custodians Mark Mostaffa and Steven VanMetre (see SEC-021-00000001 through 00001167) and e-mail and associated attachments from custodians Tom Carroll, Allen Hull, Mark Mostaffa, and Steven VanMetre (see SEC-021-00001168 through 00007536)	SEC_2-001378977 - SEC_2-001386512	SF-03853
SEC-022-00000001	SEC-022-00018656	Dell	Electronic documents from custodian Andrew Chubick (see SEC-022-00000001 through SEC-022-00000082) and e-mail and associated attachments from custodians Andrew Chubick and Steven VanMetre (SEC-022-00000083 through SEC-022-00018655)+D191	SEC_2-001386513 - SEC_2-001405167	SF-03853

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
SEC-023-00000001	SEC-023-00006491	Dell	Electronic documents from custodians Steven Gaeta, Jim Garcia, Ryan Grametbauer, and Joseph Snodgrass (see SEC-023-00000001 through SEC-023-00000403) and e-mail and associated attachments from custodians Robert Barris, Steven Gaeta, Jim Garcia, Ryan Grametbauer, Tavy Lohrey, Maya McReynolds, Ben Ribaud, William Rodrigues, Rob Serra, and Joseph Snodgrass (see SEC-023-00000404 through SEC-023-00006490)	SEC_2-001405168 - SEC_2-001411657	SF-03853
SEC-024-00000001	SEC-024-00007529	Dell	Electronic documents from custodians Tom Carroll, Jim Garcia, Mark Mostaffa, and Steven VanMetre (see SEC-024-00000001 through SEC-024-00000153) and e-mail and associated attachments from custodians Robert Barris, Mike Bruff, Tom Carroll, Andrew Chubick, Maggie Dolan, Michael Faughnan, Jim Garcia, Roy Markham, Maya McReynolds, Charles Meister, Bob Page, Joseph Snodgrass, and Steven VanMetre (see SEC-024-00000154 through SEC-024-00007528).	SEC_2-001411658 - SEC_2-001419185	SF-03853
SEC-025-00000001	SEC-025-00000797	Dell	Electronic documents from custodians Jim Garcia, Joseph Snodgrass, and Steven VanMetre (see SEC-025-00000001 through SEC-025-00000049) and e-mail and associated attachments from custodians Thomas Carlisle, Andrew Chubick, Jim Garcia, Joseph Snodgrass, and Steven VanMetre (see SEC-025-00000050 through SEC-025-00000796)	SEC_2-001419186 to SEC_2-001419976	SF-03853
SEC-026-00000001	SEC-026-00000031	Dell	Privilege log and e-mail and associated attachments for following custodians: Robert Barris, Thomas Carlisle, Andrew Chubick, Julie Cooper, Lisa De Leon, Maggie Dolan, Michael Faughnan, Timothy Fritcher, Steven Gaeta, Jim Garcia, Craig Irons, Gregory Kent, Tavy Lohrey, Roy Markham, Charles Meister, Mark Mostaffa, Bob Page, Jason Renfro, Ben Ribaud, Brett Roscoe, Steven VanMetre, Craig Warthen	SEC_2-001419982 - SEC_2-001420012	SF-03853
SEC-027-00000001	SEC-027-00000973	Dell	Privilege log and e-mail and associated attachments for following custodians: Robert Barris, Thomas Carlisle, Andrew Chubick, Julie Cooper, Lisa De Leon, Maggie Dolan, Michael Faughnan, Timothy Fritcher, Steven Gaeta, Jim Garcia, Craig Irons, Gregory Kent, Tavy Lohrey, Roy Markham, Charles Meister, Mark Mostaffa, Bob Page, Jason Renfro, Ben Ribaud, Brett Roscoe, Steven VanMetre, Craig Warthen	SEC_2-001420013 - SEC_2-001420871	SF-03853
SEC-029-00000001	SEC-029-00000040	Dell	No description in cover letter	SEC_2-001420872 - SEC_2-001420910	SF-03853
SEC-030-00000001	SEC-030-00001650	Dell	No description in cover letter	SEC_2-001420911 - SEC_2-001422559	SF-03853



January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
DTUS-SEC000001	DTUS-SEC005123	Deloitte	Working paper re audit by Deloitte UK of Autonomy for fiscal years 2009, 2010; Global Referral Instructions and associated appendices to Deloitte US	SF-03853-AUSA-00414155 - SF-03853-AUSA-00796706; SF-03853-AUSA-00054287 - SF-03853-AUSA-00054329	HP_AUTONOMY001
DTUS-SEC005124	DTUS-SEC009976	Deloitte	Emails and user files for Cooper, Endo, Goel, Hertz, Hill, McKenzie, Plants, Prasad, and Tran	SF-03853-AUSA-00412223 - SF-03853-AUSA-00414141	HP_AUTONOMY002
DTUS-SEC009977	DTUS-SEC016724	Deloitte	Emails and user files from: Cadwalader; Nguyen; Wattoo; and AS/2 files from Emily McRae	SEC-FBI-000678824 - SEC-FBI-000679716	HP_Autonomy003
DTUS-SEC016725	DTUS-SEC018112	Deloitte	Emails and user files for Amy Holcomb, Ryan Nicollerat and Macon Ware	SF-03853-AUSA-00239378 - SF-03853-AUSA-00621745	HP_AUTONOMY002
DTUS-SEC018113	DTUS-SEC018264	Deloitte	Aric Guo and Ryan Nicollerat files/e-mail	SEC-FBI-000412868 - SEC-FBI-000412932	HP_Autonomy003
DTUS-SEC018265	DTUS-SEC019962	Deloitte	Documents relating to user files located recently from the following custodians: Brittany Cadwalader; Anjali Goel; Amy Holcomb; Miriam McKenzie; Emily McRae; Millie Nguyen; Amy Tran	SEC-FBI-000524079 - SEC-FBI-000116680	HP_Autonomy003
DTUS-SEC019963	DTUS-SEC023661	Deloitte	Documents relating to user files from the following custodians: Gwen Hertz; Travis Hill; Kelli Plants; Macon Ware; and Joey Wattoo	SEC_2-000067012 - SEC_2-000070710	SF-03853
US-DVA-00000001	US-DVA-00000235	Dept. of Vet Affairs	Documents provided by the US Department of Veterans Affairs		BAT077
DBSI00000001	DBSI00000278	Deutsche Bank	Discovery rcvd from Deutsche Bank in respond to GJ subpoena request		Deutsche Bank
DBSI00000279	DBSI00029042	Deutsche Bank	Documents received in response to GJ Subpoena		DBSI002
DBSI00029043	DBSI00042783	Deutsche Bank	Documents received in response to GJ Subpoena		DBSI003
DISCOVERTECH000001	DISCOVERTECH008510	Discover Technologies	Files from from three custodians: David Truitt, Malcolm Hyson, and Derik Palacino.	SEC-FBI-0003338768	HP_Autonomy003
DISCOVERTECH008511	DISCOVERTECH008872	Discover Technologies	Documents from three custodians: David Truitt, Malcolm Hyson, and the Discover Tech's "Office Administrator" email account.	SEC_2-000055513 SEC_2-000055874	SF-03853
DE000001	DE000730	Discovery Economics	No description in cover letter.		HP-Autonomy29
ZONES_DOJ0004201	ZONES_DOJ0004791	DLA Piper for Zones, Inc.	Production of documents in response to GJ Subpoena		BAT00103_A
DC 0000001	DC 0000318	Dodge & Cox			BAT0117 & DC001
DP_HP_0000001	DP_HP_0003150	Duff & Phelps	Additional docs received in response to GJ subpoena		DHP002 & DHP001
SEC-EGAN-00000001	SEC-EGAN-00001085	Egan, Stouffer	Documents responsive to Requests 1-4	SEC-FBI-000317857 - SEC-FBI-000678130	HP_Autonomy003
ELI 000001	ELI 00072	Eli Lilly	Contract and payment documents related to Eli Lilly's transaction with Autonomy Corporation in June 2010.	SEC-FBI-000550582 - SEC-FBI- 000550598 (random within this range)	HP_Autonomy003

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
ELI 000073	ELI 012501	Eli Lilly	No description in cover letter	SEC_2-000076668 - SEC_2-000083139; SEC_2-000070711 - SEC_2-000076667	SF-03853
EMC-HP-000001	EMC-HP-000935	EMC	Docs responsive to subpoena	SF-03853-AUSA-00055075 - SF-03853-AUSA-00054347	HP_AUTONOMY001
EMC-HP-000936	EMC-HP-010249	EMC	No description in cover letter	SF-03853-AUSA-00030833 - SF-03853-AUSA-00004842	HP_AUTONOMY001
EMC-HP-010250	EMC-HP-018265	EMC	Documents relating to customized reports of Autonomy transactions	SF-03853-AUSA-00234073 - SF-03853-AUSA-00236585	HP_AUTONOMY002
EMC-HP-018266	EMC-HP-023463	EMC	Emails from the following eight custodians: (1) Gregg Ambulos; (2) Thomas Austin; (3) Robert Crowley; (4) Michael Mussilli; (5) Thomas Pacheco; (6) Joseph Profeta; (7) Kevin Scannell; and (8) William Scannell.	SEC-FBI-000656569 - SEC-FBI-000297466	HP_Autonomy003
EMC-HP-023477	EMC-HP-027429	EMC	Docs from Harry You's email for the period January 1, 2010 through December 31, 2010 using as search terms "autonomy," "dubury," "dynamo," or "everton."	SEC-FBI5-000000051 - SEC-FBI5-000004003	SEC-FBI5-20151001
EMC-GJ-000001	EMC-GJ-000702	EMC Corporation	Documents received in response to GJ Subpoena		EMC-GJ-001
EMC-GJ-000703	EMC-GJ-000706	EMC Corporation	Screenshots from EMC's Oracle database		EMC-GJ-002
EY-HP-AMRO-EF-008189	EY-HP-AMRO-EF-008200	Ernst & Young	Agnieszka Mrowka		SEC-AUSA5-EPROD_20150424
EY-HP-AMRO-EM-012342	EY-HP-AMRO-EM-012410	Ernst & Young	Agnieszka Mrowka		SEC-AUSA4_20140624
EY-HP-APOW-DF-000017	EY-HP-APOW-DF-000045	Ernst & Young	Amanda Powell		SEC-AUSA4_20140624
EY-HP-APOW-EF-005962	EY-HP-APOW-EF-006123	Ernst & Young	Amanda Powell		SEC-AUSA4_20140624
EY-HP-BCAR-EF-001070-1075	EY-HP-BCAR-EF-001070-1075	Ernst & Young	Beth Carr		SEC-AUSA4_20140624
EY-HP-BCAR-EM-014910-15178	EY-HP-BCAR-EM-014910-15178	Ernst & Young	Beth Carr		SEC-AUSA4_20140624
EY-HP-BIM-EF-012165		Ernst & Young	Bunrith Im		SEC-AUSA4_20140624
EY-HP-BOUT-DF-000960-986	EY-HP-BOUT-DF-000960-986	Ernst & Young	Brian Outland		SEC-AUSA4_20140624
EY-HP-BOUT-EM-001230-1240	EY-HP-BOUT-EM-001230-1240	Ernst & Young	Brian Outland		SEC-AUSA4_20140624
EY-HP-BROW-EM-018209	EY-HP-BROW-EM-018312	Ernst & Young	William Rowland		SEC-AUSA4_20140624
EY-HPC-000001	EY-HPC-000422	Ernst & Young	CD containing images of documents from Ernst & Young LLP's files related to HP's quarterly testing.		HPC-001
EY-HP-CHYE-EF-001785	EY-HP-CHYE-EF-001834	Ernst & Young	Cassie Hyek		SEC-AUSA4_20140624
EY-HP-CHYE-EM-005140	EY-HP-CHYE-EM-005141	Ernst & Young	Cassie Hyek		SEC-AUSA4_20140624
EY-HP-FOGL-DF-001000	EY-HP-FOGL-DF-001165	Ernst & Young	Forrest Oglesbee		SEC-AUSA4_20140624
EY-HP-FOGL-EF-001973	EY-HP-FOGL-EF-002098	Ernst & Young	Forrest Oglesbee		SEC-AUSA4_20140624
EY-HP-GAMX-11-003292	EY-HP-GAMX-11-003568	Ernst & Young	Additional electronic workpapers that concern Autonomy	SF-03853-AUSA-00066712 - SF-03853-AUSA-00066896	HP_AUTONOMY002
EY-HP-GHUN-EF-001049	EY-HP-GHUN-EF-001057	Ernst & Young	Gareth Hunt		SEC-AUSA4_20140624
EY-HP-GMX-11-000001	EY-HP-GMX-11-003291	Ernst & Young	Hardcopy and electronic workpapers re Autonomy for Oct. 31 2011 audit		SEC-AUSA4_20140624
EY-HP-GMX-11-003569	EY-HP-GMX-11-003648	Ernst & Young	Electronic workpapers that concern Autonomy from the H-P October 31, 2011 and October 31, 2012 audits.		SEC-AUSA4_20140624
EY-HP-GMX-11-003649	EY-HP-GMX-11-006126	Ernst & Young	Corporate 2011 GAMX		SEC-AUSA4_20140624
EY-HP-GMX-11-003830	EY-HP-GMX-11-003830.015	Ernst & Young	Documents produced by Ernst & Young	SEC-AUSA4-000006123 - SEC-AUSA4-000006138	SEC-AUSA4_20140624
EY-HP-GMX-12-000001	EY-HP-GMX-12-015452	Ernst & Young	Electronic workpapers that concern Autonomy from the H-P October 31, 2011 and October 31, 2012 audits.		SEC-USAOFBI_20140318

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
EY-HP-GMX-12-015531	EY-HP-GMX-12-020584.003	Ernst & Young	Documents produced by Ernst & Young	SEC-AUSA4-000006182 - SEC-AUSA4-000006524	SEC-AUSA4_20140624
EY-HP-GMX-12-015531	EY-HP-GMX-12-021926	Ernst & Young	Corporate 2012 GAMX		SEC-AUSA4_20140624
EY-HP-GMX-12-015929	EY-HP-GMX-12-017053.0001	Ernst & Young	Documents produced by Ernst & Young	SEC-AUSA4-000006372 - SEC-AUSA4-000006520	SEC-AUSA4_20140624
EY-HP-HCHO-EF-003703	EY-HP-HCHO-EF-003794	Ernst & Young	Hee Cho		SEC-AUSA4_20140624
EY-HP-HCHO-EM-026823	EY-HP-HCHO-EM-027590	Ernst & Young	Hee Cho		SEC-AUSA4_20140624
EY-HP-JGUT-EF-000615	EY-HP-JGUT-EF-000937	Ernst & Young	Jason Guthrie		SEC-AUSA4_20140624
EY-HP-JLAN-EF-000048	EY-HP-JLAN-EF-000049	Ernst & Young	Jeff Lang		SEC-AUSA4_20140624
EY-HP-JTEU-DF-001618	EY-HP-JTEU-DF-001680	Ernst & Young	Jamie Teuscher		SEC-AUSA4_20140624
EY-HP-JTEU-EF-000153	EY-HP-JTEU-EF-000470	Ernst & Young	Jamie Teuscher		SEC-AUSA4_20140624
EY-HP-KASH-DF-000978	EY-HP-KASH-DF-001021	Ernst & Young	Kevin Asher		SEC-AUSA4_20140624
EY-HP-KASH-EF-000031	EY-HP-KASH-EF-000041	Ernst & Young	Kevin Asher		SEC-AUSA4_20140624
EY-HP-KPAR-EM-008526	EY-HP-KPAR-EM-008527	Ernst & Young	Kirk Parish		SEC-AUSA4_20140624
EY-HP-LFUA-EM-032765	EY-HP-LFUA-EM-034516	Ernst & Young	Long Hua		SEC-AUSA4_20140624
EY-HP-LHUA-DF-000091	EY-HP-LHUA-DF-000165	Ernst & Young	Long Hua		SEC-AUSA4_20140624
EY-HP-LHUA-EF-000669	EY-HP-LHUA-EF-000684	Ernst & Young	Long Hua		SEC-AUSA4_20140624
EY-HP-LIST-000002	EY-HP-LIST-000441	Ernst & Young	Documents and Paper Profile lists from each of the 2011 and 2012 Hewlett-Packard Company year-end audit GAMX databases	SEC-AUSA4-000009127 - SEC-AUSA4-000009138	SEC-AUSA4_20140624
EY-HP-LJOH-EF-006464	EY-HP-LJOH-EF-006500	Ernst & Young	Lucas Johnson		SEC-AUSA4_20140624
EY-HP-LWAN-EM-015257	EY-HP-LWAN-EM-015477	Ernst & Young	Lynne Wang		SEC-AUSA4_20140624
EY-HP-LWOR-EF-010114	EY-HP-LWOR-EF-011072	Ernst & Young	Laura Worsham		SEC-AUSA4_20140624
EY-HP-MWRA-DF-000157	EY-HP-MWRA-DF-000261	Ernst & Young	Michelle Wrage		SEC-AUSA4_20140624
EY-HP-MWRA-EF-058363	EY-HP-MWRA-EF-059706	Ernst & Young	Michelle Wrage		SEC-AUSA4_20140624
EY-HP-MWRA-EM-038628	EY-HP-MWRA-EM-039683	Ernst & Young	Michelle Wrage		SEC-AUSA4_20140624
EY-HP-RADH-EF-007515	EY-HP-RADH-EF-007523	Ernst & Young	Rohit Adhikary		SEC-AUSA4_20140624
EY-HP-RADH-EM-003417	EY-HP-RADH-EM-003159	Ernst & Young	Rohit Adhikary		SEC-AUSA4_20140624
EY-HP-RJAC-EF-015601	EY-HP-RJAC-EF-015603	Ernst & Young	Richard Jackson		SEC-AUSA4_20140624
EY-HP-RKYL-EM-000466	EY-HP-RKYL-EM-000577	Ernst & Young	Robert Larsen		SEC-AUSA4_20140624
EY-HP-SNEL-DF-000044	EY-HP-SNEL-DF-000097	Ernst & Young	Sadler Nelson		SEC-AUSA4_20140624
EY-HP-SNEL-EF-000135	EY-HP-SNEL-EF-000135	Ernst & Young	Sadler Nelson		SEC-AUSA4_20140624
EY-HP-SNEL-EM-044443	EY-HP-SNEL-EM-049675	Ernst & Young	Sadler Nelson		SEC-AUSA4_20140624
EY-HP-TFIS-EF-000005		Ernst & Young	Tracy Fisher		SEC-AUSA4_20140624
EY-HP-TFIS-EM-060157	EY-HP-TFIS-EM-073912	Ernst & Young	Tracy Fisher		SEC-AUSA4_20140624
EY-HP-WP-12-000164	EY-HP-WP-12-000828	Ernst & Young	Documents produced by Ernst & Young	SEC-AUSA4-000018534 - SEC-AUSA4-000019088	SEC-AUSA4_20140624
VARIOUS	VARIOUS	Ernst & Young	Electronic files and email of: Abdul Lakhani ; Agnieszka Mrowka; Amanda Powell; Beth Carr; Bunrith Im; Brian Outland; Brad Rowland; Cassie Hyek; Forrest Oglesbee; Gareth Hunt; Hee Cho; Jason Guthrie; Kevin Asher; Kevin Reilly; Kirk Parrish; Long Hua; Lucas Johnson; Lynne Wang; Laura Worsham; Michelle Wrage; Richard Harrison; Richard Jackson; Robert Kyle Larson; Sadler Nelson; Scott Leahy; Tom Sciametta; Tracy Fisher; Ye Wang		SEC-AUSA5-EPROD_20150424

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
VARIOUS	VARIOUS	Ernst & Young	Electronic files of: Alicia Elliot; Beth Carr; Bunrith Im; Cassie Hyek; Forrest Oglesbee; Gareth Hunt; Hee Cho; Jaime Teuscher; Jason Guthrie; Laura Worsham; Lucas Johnson; Marek Ciezko; Michelle Wrage; Richard Harrison; Richard Jackson; Rohit Adhikary; Tracy Fisher		SEC-AUSA5-EPROD_20150424
VARIOUS	VARIOUS	Ernst & Young	Electronic workpapers that concern Autonomy from the H-P October 31, 2011 and October 31, 2012 audits.		SEC-AUSA4_20140624
SEC-EAYPL-0000001	SEC-EAYPL-0000079	Ernst & Young	Four privilege logs for HP's privilege claims. These logs are missing certain bates numbers, though the documents are listed on the logs. HP will update the logs and provide the missing bates numbers		SEC_2_20140131
SEC-EYPL-0000001	SEC-EYPL-0000005	Ernst & Young	Request to remove list of "inadvertently produced" documents		SEC_2_20140131
SEC-E&YPL-00000001	SEC-E&YPL-00000010	Ernst & Young	Privilege Log and identification of redacted documents		SEC_2_20140131
FBI 000000001	FBI 000002267	FBI	GJ Interviews		PROTECTED MATERIALS
FBI 000002268	FBI 000051448	FBI	Records from FBI received via GJ subpoena		AU_0002
FBI 000007929	FBI 000007956	FBI	Replacement for sub-folder to fix overlapping bates number		AU_0005
FBI 000050845	FBI 000051230	FBI	Replacement for sub-folder to fix overlapping bates number		AU_0024
FBI 000051449	FBI 000051451	FBI	Replacement for sub-folder to fix overlapping bates number		AU_0015
FBI 000051452	FBI 000052121	FBI	Additional records from FBI		AU_0049 & AU_0051
FBI 000052122	FBI 000052519	FBI	Additional records from FBI		AU_0054
FBI 100000001	FBI 100000764	FBI	Records from FBI case file		AU_0026
FBI 100000765	FBI 100004695	FBI	Interviews		PROTECTED MATERIALS
FBI 100004696	FBI 100008535	FBI	Records from FBI case file		AU_0029; AU_0031
FBI 100008536	FBI 100009136	FBI	Interviews		PROTECTED MATERIALS
FBI 100009137	FBI 100009138	FBI	Replacement for sub-folder to fix overlapping bates number		AU_0028
FBI 100009139	FBI 100010062	FBI	Additional records from FBI, case file		AU_0049 & AU_0050
FBI 100010063	FBI 100010066	FBI	Additional records from FBI re Sushovan Hussain		AU_0051
FBI 100010067	FBI 100010163	FBI	Additional records from FBI, case file		AU_0055
FBI 200000000	FBI 200003919	FBI	FBI communications with non-governmental parties		RECORDS FROM FBI
FBI 200003920	FBI 200004204	FBI	Additional FBI communications		HPAutonomy20170131
FBI_HP 00000001	FBI_HP 00000179	FBI	Miscellaneous documents from FBI		AU_0053
FBI_HP 00000180		FBI	Local news on AU payroll fraud: "Payroll Scam"		AU_0053
US_FBI_E-00000001	US_FBI_E-00020785	FBI	Reports/Interview with exhibits/attachments		PROTECTED MATERIALS
US_FBI_E-00020786	US_FBI_E-00020796	FBI	Additional interview/reports		AU_0052
US_FBI_E-00020797	US_FBI_E-00020801	FBI	Index of reports/interviews		AU_0052
US_FBI_E-00020802	US_FBI_E-00021956	FBI	Additional interview/reports		AU_0052_A
US-FBI 0000001	US-FBI 0001136	FBI	Reports and interviews, without exhibits/attachments		PROTECTED MATERIALS
US-FBI 0001137		FBI	Lynch video, Autonomy-CNBC 11/20/2012		PROTECTED MATERIALS

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
FIDELITY-SEC-AUTONOMY 0000001	FIDELITY-SEC-AUTONOMY 0000048	Fidelity Management	Schedule showing the trading of shares of Autonomy by mutual funds and/or institutional accounts for which FMR or Pyramis performed discretionary portfolio management, etc...	SEC-AUSA5-EPROD-000118438 - SEC-AUSA5-EPROD-000118485	SEC-AUSA5-PROD_20150424
FT0000001	FT0000127	FileTek	Documents produced by FileTek	SEC_2-000091156 - SEC_2-000091282	SF-03853
FT0000001	FT0000099	FileTek	Documents produced by FileTek	SEC_2-000091156 - SEC_2-000091254	SF-03853
FT0000128	FT0073378	FileTek	Emails dated January 1, 2009 and later from the accounts of Bill Loomis, Howard Patrick, and Gary Szukalski.	SEC-FBI-000363547 - SEC-FBI-000383322	HP_Autonomy003
FT0073379	FT0081844	FileTek	No description in cover letter	SEC_2-000091283 - SEC_2-000099748	SF-03853
US_FRRP 000001	US_FRRP 000040	Financial Reporting Review Panel	FRRP correspondence		BAT0154
F_000001	F_000231	FINRA	Documents responsive to SEC request of 1/24/14: Stock trading records for Autonomy Corp.		
US-FRC 00000001	US-FRC 00000508	FRC	Interviews of Deloitte auditors		PROTECTED MATERIALS
GRIEB-000001	GRIEB-000554	Fred Grieb	Documents responsive to subpoena.		GRIEB08092015
FTIGJ00000001	FTIGJ00002565	FTI Consulting	Documents responsive to subpoena.		PROD 001 20150311
FTIGJ00002566	FTIGJ00003117	FTI Consulting	Documents responsive to subpoena.		VOL005
KT000001	KT000107	G. Kennedy Thompson	No description in cover letter	SEC-FBI-000523467 - SEC-FBI-000523466	HP_Autonomy003
GEAMHP_0000001	GEAMHP_0002698	GE Asset Management	No description in cover letter	SEC-AUSA8-EPROD-000000001 - SEC-AUSA8-EPROD-000002698	SEC_AUSA8-EPROD_20150224
GEAMHP_0002699	GEAMHP_0002758	GE Asset Management	No description in cover letter	SEC-AUSA8-EPROD-000002699 - SEC-AUSA8-EPROD-000002758	SEC_AUSA8-EPROD_20150224
US-GD-00000001	US-GD-00000008	Gibson Dunn	Correspondence regarding use of docs.		BAT0115
US-GD-00000009	US-GD-00000011	Gibson Dunn	Correspondence regarding use of docs.		BAT0115
CAPAX000001	CAPAX000136	Goldberg Segalla LLP	Show Cause: Capax Global LLC		BAT0074
GS0000001	GS00000003366	Goldman Sachs	Communications between AU and GS		ED8000_001
GSSEC0000001	GSSEC0001930	Goldman Sachs	Gregg Kemkau's hard copy files	SF-03853-AUSA-00015064 - SF-03853-AUSA- 00015935	HP_AUTONOMY001
GSSEC0001931	GSSEC0004475	Goldman Sachs	Emails from Outlook folders maintained by Owain Evans, Gregg Lemkau, Philip Shelley, Mark Sorrell, Nicholas van den Arend	SF-03853-AUSA-00010364 - SF-03853-AUSA-00039381	HP_AUTONOMY001
USAO 00002233	USAO 00002238	Google	Account Info		BAT0045
HRB0000001	HRB0000163	H&R Block	Contracts and purchase orders relating to transactions between HRB Tax Group, Inc. and Autonomy, Inc. between January 1, 2009 and the present.		
HRB0000164	HRB0009562	H&R Block	Email files from: Scott Danner; Saravanan Karunanithi; Barb Larson; Mary Ocker; Kathleen Ryan; John Meiers; Rod Shipley; Brant Sader; Gina Srivastava	SEC-FBI-000450957 - SEC-FBI-000037893	HP_Autonomy003
HRB0009562A	HRB0019922	H&R Block	Documents received from HRB re communications with Autonomy, January 2009 - May 2011.	SEC-FBI-000086994 - SEC-FBI-000498503	HP_Autonomy003
HRB0019923	HRB0019942	H&R Block	Privilege Log	SEC_2-000746156 - SEC_2-000746175	SF-03853

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01580291		Hewlett-Packard	Response to meetings and interview of Betsy Branch on July 15, 2015: 1) Timing of Autonomy's 2010 Annual Report and Meetings with HP; 2) HP's Damages Analysis; 3) Evidence of Mike Lynch's Termination from HP; 4) Autonomy's Q2 2011 VSOE Analysis; and 5) HP's Sub-Certification Process		HP-SEC-089_PDF
HP-SEC-01583227	HP-SEC-01583330	Hewlett-Packard	Response to meetings and interview of Betsy Branch on July 15, 2015: 1) Timing of Autonomy's 2010 Annual Report and Meetings with HP; 2) HP's Damages Analysis; 3) Evidence of Mike Lynch's Termination from HP; 4) Autonomy's Q2 2011 VSOE Analysis; and 5) HP's Sub-Certification Process		HP-SEC-089
HP-SEC-00768977	HP-SEC-0070737	Hewlett-Packard	1) Full document "families" for all documents included in the "Stouffer Egan Binder" as well as "Joel Scott Binder" produced on February 3, 2014 (HP-SEC-00770242-HP-SEC-00770737). 2) full document "families" for all exhibits attached to HP's 11/16/2012 and 5/9/2013 presentations to the SEC and the USAO (respectively, HP-SEC-00768977-HP-SEC-00769395 and HP-SEC-00769396-HP-SEC-00770241).		HP-SEC-034
COVERLETTER_MLB-20150520.001		Hewlett-Packard	Follow up to 4/27/15 presentation, MLB provided explanation referencing documents with bates number: HP-SEC-01574462 to HP-SEC-01574610.		BAT0109
HP 0000001	HP 0000198	Hewlett-Packard	Presentation to SEC staff of internal investigation findings re Autonomy		BAT11
HP 0000199	HP 0000730	Hewlett-Packard	Presentation to SEC staff of internal investigation findings re Autonomy		BAT11
HP 0000199	HP 0000202	Hewlett-Packard	Players' list		BAT11
HP 0000731	HP 0001370	Hewlett-Packard	Presentation to the USAO, Binder		BAT0132
HP -SEC-01830656		Hewlett-Packard	Documents relating to investors who may have purchased shares of HP		HP-SEC-166
HP-POC 00000409	HP-POC 00000489	Hewlett-Packard	Particulars of Claim		BAT26_A
HP-POC-00000001	HP-POC-00000408	Hewlett-Packard	Schedules which accompanied the Particulars of Claim against Mike Lynch and Sushovan Hussain in the UK	HP-SEC-01550316 - HP-SEC-01550392	BAT26
HP-SEC-00000001	HP-SEC-00001653	Hewlett-Packard	Org charts, ledger, agreements	SF-03853-AUSA-00026237 - SF-03853-AUSA-00054254	HP_AUTONOMY001
HP-SEC-00001654	HP-SEC-00001879	Hewlett-Packard	Docs provided to HP Board re Autonomy acquisition	SF-03853-AUSA-00055303 - SF-03853-AUSA-00054861	HP_AUTONOMY001
HP-SEC-00001880	HP-SEC-00002801	Hewlett-Packard	Powerpoint slides, Enterprise Financial Reporting	SF-03853-AUSA-00051854 - SF-03853-AUSA-00022636	HP_AUTONOMY001
HP-SEC-00002802	HP-SEC-00004327	Hewlett-Packard	Powerpoint slides, Autonomy Corp., Consolidated Financial Statements, 12/31/2008	SF-03853-AUSA-00341880 - SF-03853-AUSA-00719982	HP_AUTONOMY002

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-00004328	HP-SEC-00189787	Hewlett-Packard	Email/ESI gathered for custodians listed in Requests 19 and 20, including (1) Antonia Anderson; (2) Ian Black; (3); Randy Cairns; (4) Jim Crumbacher; (5) Chris Goodfellow; (6) Lisa Harris; (7) Fernando Lucini; (8) Mike Mooney; (9) Joel Scott; (10) Matt Stephen; (11) Mike Sullivan; and (12) Chris Yelland.	SF-03853-AUSA-00289158	HP_AUTONOMY002
HP-SEC-00019226	HP-SEC-00019255	Hewlett-Packard	Andrew Kanter's April 20, 2009 email providing the Audit Committee note and other materials to the Autonomy Audit Committee.	SEC_2-000128555 - SEC_2-000128559	BAT0183
HP-SEC-00061214	HP-SEC-00061217	Hewlett-Packard	Statement of Work #10 Issued under First Amended and Restated Digital Safe Service Agreement		BAT0060
HP-SEC-00062741	HP-SEC-00062751	Hewlett-Packard	Schedule for Digital Safe Universal Access		BAT0060
HP-SEC-00063776	HP-SEC-00063785	Hewlett-Packard	March 2011 Amendment to Certain Identified Schedules and Statements of Work issued under the First Amended and Restated ZANTAZ, Inc. Digital Safe Service Agreement		BAT0060
HP-SEC-00189788	HP-SEC-00189991	Hewlett-Packard	Document production in response to Subpoena Request No. 3:	SEC_2-000299119 SF-03853-AUSA-00420338	SF-03853 OR HP_AUTONOMY002
HP-SEC-00189992	HP-SEC-00213787	Hewlett-Packard	ESI/.pst files responsive to Request 21, as narrowed by the Commission on January 4, 2013: (1) Catherine Lesjak; (2) Leo Apotheker; (3) Shane Robison; (4) Meg Whitman; (5) James Murrin; and (6) Manish Sarin. Also non-privileged responsive ESI obtained from a review of user generated files, .pst files (archived email) and server email for the following custodians listed in Request 21: (1) William Veghte; and (2) Andrew Johnson.	SEC-FBI-000531435 - SEC-FBI-000128656	HP_Autonomy003
HP-SEC-00213788	HP-SEC-00245930	Hewlett-Packard	ESI obtained from a review of user-generated files, .pst files (archived email) and/or Exchange server email gathered for the following custodians listed in Requests 19 and 20, as narrowed by the Commission on January 4, 2013: Stephen Chamberlain, Antonia Anderson, Sean Blanchflower, Woody Walton, Joel Scott, Dan Truitt, Sushovan Hussain, Ian Black, Lisa Harris, Andrew Kanter, Fernando Luciano, Mike Sullivan, Chris Goodfellow, Stouffer Egan, Mike Mooney, Percy Tejada, Neil Araujo, Randy Cairns, Brent Hogenson, Matt Stephan, Christopher Yelland, Christopher Chan, and Jim Crumbacher	SEC-FBI-000622063 - SEC-FBI-000273631	HP_Autonomy003
HP-SEC-00245931	HP-SEC-00256835	Hewlett-Packard	ESI obtained from a review of user-generated files, .pst files (archived email) and/or Exchange server email gathered for the following custodians listed in Request 21, as narrowed by the Commission on January 4, 2013: William Veghte, Catherine Lesjak, James Murrin, Meg Whitman, Andrew Johnson, Shane Robison, Sergio Letelier, Leo Apotheker and Manish Sarin.	SEC-FBI-000501541 - SEC-FBI-000097517	HP_Autonomy003



January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-00256836	HP-SEC-00285985	Hewlett-Packard	Docs in response to requests 19 and 20, as narrowed by the Commission on January 4, 2013: Anderson, Araujo, Mooney, Harris, Chan, Scott, Hussain, Truitt, Boddy, Chamberlain, Cairns, Yelland, Tejeda, Stephan, Walton, Kanter, Goodfellow, Lucini and Lynch.	SEC-FBI-000097517 - SEC-FBI-000011924	HP_Autonomy003
HP-SEC-00285986	HP-SEC-00317355	Hewlett-Packard	Documents initially housed in "virtual data room" prior to the Autonomy acquisition	SEC_2-000299323 - SEC_2-000330692	SF-03853
HP-SEC-00317356	HP-SEC-0032899	Hewlett-Packard	1) Documents provided to H-P in connection with H-P's potential acquisition of Autonomy relating to valuations of Autonomy or Autonomy's financial condition, from any of the following parties: Barclays PLC, Bank of America N.A., or Bank of America Merrill Lynch, Citibank, N.A., Deloitte LLP, Deutsche Bank AG, The Goldman Sachs Group, Inc., JP Morgan Chase & Co., Morgan Stanley, Perella Weinberg Partners, Qatalyst Partners LP, or UBS Securities LLC; 2) Reports or other documents provided by KPMG LLP to H-P in connection with HP's acquisition of Autonomy;		SF-03853
HP-SEC-00329000	HP-SEC-00329285	Hewlett-Packard	Interviews	SEC_2-000342237 to SEC_2-000342622	PROTECTED MATERIALS
HP-SEC-00329286	HP-SEC-00353237	Hewlett-Packard	Electronic documents from custodians listed in Request 21: William Veghte, Catherine Lesjak, James Murrin, Meg Whitman, Andrew Johnson, Shane Robison, Sergio Letelier, Leo Apotheker, Manish Sarin and Chris Yelland.	SEC_2-000342623 - SEC_2-000366574	SF-03853
HP-SEC-00353238	HP-SEC-00354566	Hewlett-Packard	Exhibits from presentations made to the SEC on November 16, 2012, January 16, 2013 and May 9, 2013.	SEC_2-000366575 - SEC_2-000367903	SF-03853
HP-SEC-00354572	HP-SEC-00354710	Hewlett-Packard	Interviews	SEC_2-000367904 to SEC_2-000368047	PROTECTED MATERIALS
HP-SEC-00354711	HP-SEC-00377575	Hewlett-Packard	Electronically stored information and email gathered for the following custodians listed in Requests 19 and 20, as narrowed by the Commission on January 4, 2013: Antonia Anderson, Neil Araujo, Mike Mooney, Lisa Harris, Christopher Chan, Jim Crumbacher, Poppy Prentis, Mike Sullivan, Nicole Eagan, Corrado Broli, Christopher "Stouffer" Egan, Ian Black, Joel Scott, Sushovan Hussain, Paula Boddy, Stephen Chamberlain, Percy Tejeda, Matt Stephan, Andrew Kanter, Chris Goodfellow, and Fernando Lucini. In addition, this production includes responsive information from additional Autonomy custodians and sources, beyond those listed.		SF-03853

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-00377576	HP-SEC-00579600	Hewlett-Packard	ESI and email from (Reqs 9 & 20): Anderson, Araujo, Mooney, Harris, Chan, Crumbacher, Prentis, Sullivan, Eagan, Broli, Egan, Black, Scott, Hussain, Boddy, Chamberlain, Tejada, Stephan, Kanter, Goodfellow, Lucini, Walton, Truitt, Gallagher, Blanchflower, Hogenson, Lynch, Sullivan, and Cairns.	SEC_2-000390913 - SEC_2-000592937	SF-03853
HP-SEC-00449622	HP-SEC-00449772	Hewlett-Packard	Statement of Work for e-business Digital Archiving Hosting dated June 30, 2008		BAT0060
HP-SEC-00456886	HP-SEC-00456894	Hewlett-Packard	First Amendment to Statement of Work for e-business Digital Archiving Hosting and Statement of Work #6 each issued under the First Amended and Restated ZANTAZ, Inc. Digital Safe Service Agreement		BAT0060
HP-SEC-00457505	HP-SEC-00457766	Hewlett-Packard	Statement of Work #6 under Zantaz Digital Safe Service Agreement		BAT0060
HP-SEC-00460685	HP-SEC-00460708	Hewlett-Packard	Schedule for ILM Materials		BAT0060
HP-SEC-00579601	HP-SEC-00583995	Hewlett-Packard	Electronically stored information and email from the following custodians listed in Requests 19 and 20, as narrowed on January 4, 2013: Antonia Anderson, Neil Araujo, Mike Mooney, Lisa Harris, Christopher Chan, Jim Crumbacher, Christopher "Stouffer" Egan, Ian Black, Joel Scott, Sushovan Hussain, Paula Boddy, Stephen Chamberlain, Andrew Kanter, Chris Goodfellow, Fernando Lucini, Darren Gallagher, and Michael Sullivan. This production includes responsive information from additional Autonomy custodians and sources beyond those listed.	SEC_2-000592938 - SEC_2-000597332	SF-03853
HP-SEC-00580750	VARIOUS	Hewlett-Packard	Documents relating to the Xerox, Prisa and ThinkTech deals.	SEC_2-000594087	SF-03853
HP-SEC-00583996	HP-SEC-00662169	Hewlett-Packard	Electronically stored information and email from the following custodians listed in Requests 19 and 20, as narrowed on January 4, 2013: Christopher Yelland, Andrew Johnson, Catherine Lesjak, Shane Robison, Manish Sarin, Leo Apotheker, Sergio Letelier, William Veghte, James Murrin and Meg Whitman. This production includes responsive information from additional Autonomy custodians and sources beyond those listed.	SEC_2-000597333 - SEC_2-000675506	SF-03853
HP-SEC-00608993 HP-SEC-01675731	HP-SEC-00608994 HP-SEC-01675735	Hewlett-Packard	Investor reaction to the AU acquisition announcement		BAT0072

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-00662170	HP-SEC-00667592	Hewlett-Packard	Electronically stored information and email from the following custodians listed in Requests 19 and 20, as narrowed on January 4, 2013: Antonia Anderson, Neil Araujo, Mike Mooney, Lisa Harris, Christopher Chan, Jim Crumbacher, Christopher "Stouffer" Egan, Ian Black, Joel Scott, Sushovan Hussain, Paula Boddy, Stephen Chamberlain, Andrew Kanter, Chris Goodfellow, Fernando Lucini, Michael Sullivan, Dan Truitt, Nicole Eagan, Peter Merrell and Matt Stephan. This production includes responsive information from additional Autonomy custodians and sources beyond those listed.	SEC_2-000675507 - SEC_2-000680929	SF-03853
HP-SEC-00667593	HP-SEC-00688437	Hewlett-Packard	Electronically stored information and email from the following custodians listed in Request 21, as narrowed on January 4, 2013: William Veghte, Catherine Lesjak, James Murrin, Meg Whitman, Andrew Johnson, Shane Robison, Sergio Letelier, Leo Apotheker, Manish Sarin and Chris Yelland. This production includes responsive information from additional Autonomy custodians and sources beyond those listed.	SEC_2-000680930 - SEC_2-000701774	SF-03853
HP-SEC-00688438	HP-SEC-00688531	Hewlett-Packard	Exhibits from Helen Ku and Mike Mooney interviews	SEC_2-000701775 - SEC_2-000701868	SF-03853
HP-SEC-00688532	HP-SEC-00688712	Hewlett-Packard	Receipts and payments relating to MicroLink	SEC_2-000701869 - SEC_2-000701944	SF-03853
HP-SEC-00688713	HP-SEC-00709715	Hewlett-Packard	ESI and email from: Veghte, Lesjak, Murrin, Whitman, Johnson, Robison, Letelier, Apotheker, Sarin and Yelland. This production includes responsive information from additional Autonomy custodians and sources beyond those listed.		HP-SEC-023
HP-SEC-00709716	HP-SEC-00727489	Hewlett-Packard	Due diligence documents (741) from Slaughter & May	SEC_2-000701945 - SEC_2-000719718	SF-03853
HP-SEC-00727490	HP-SEC-00735140	Hewlett-Packard	ESI and email from the following custodians: Marc Andreessen, Leo Apotheker, Andrew Johnson, Raymond Lane, Catherine Lesjak, Sergio Letelier, Anne Livermore, James Murrin, Shane Robison, Manish Sarin, William Veghte, Meg Whitman and Chris Yelland.		HP-SEC-025
HP-SEC-00735141	HP-SEC-00737565	Hewlett-Packard	Full document "families" for all exhibits attached to Morgan Lewis's January 16, 2013 presentation to the SEC and the U.S. Attorney's Office		HP-SEC-026
HP-SEC-00737566	HP-SEC-00739944	Hewlett-Packard	Revenue spreadsheets for each QTR during the relevant period (Q2 2009 through Q3 2011) that appear to have been maintained by Sushovan Hussain" and any additional documents beyond these spreadsheets that PricewaterhouseCoopers LLP "used to make their assessment" of the IDOL OEM transactions		HP-SEC-027 & HP-SEC-028
HP-SEC-00739023	HP-SEC-00739944	Hewlett-Packard	Sushovan Hussain quarterly revenue spreadsheets		MISC NATIVES

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-00739945	HP-SEC-00741271	Hewlett-Packard	Exhibits referenced in the interview summaries produced to the Commission on July 16, 2013		HP-SEC-029
HP-SEC-00741272	HP-SEC-00767856	Hewlett-Packard	ESI and email from the following custodians: Antonia Anderson, Neil Araujo, Sean Blanchflower, Corrado Brol, Darren Gallagher, Mike Mooney, Lisa Harris, Christopher Chan, Jim Crumbacher, Christopher "Stouffer" Egan, Ian Black, Joel Scott, Sushovan Hussain, Brent Hogenon, Percy Tejada, Paula Boddy, Poppy Prentis, Stephen Chamberlain, Andrew Kanter, Chris Goodfellow, Fernando Lucini, Michael Sullivan, Dan Truitt, Nicole Eagan, and Matt Stephan.		HP-SEC-030
HP-SEC-00767856	HP-SEC-00768264	Hewlett-Packard	Stouffer Egan research binder prepared by H-P renumbered with HP-SEC- sequencing		SEC-02032014-prod
HP-SEC-00768265		Hewlett-Packard	1) Full document "families" for all documents included in the "Stouffer Egan Binder" as well as "Joel Scott Binder" produced on February 3, 2014 (HP-SEC-00770242-HP-SEC-00770737); 2) full document "families" for all exhibits attached to HP's 11/16/2012 and 5/9/2013 presentations to the SEC and the USAO (respectively, HP-SEC-00768977-HP-SEC-00769395 and HP-SEC-00769396-HP-SEC-00770241).	SEC-AUSA4-000019223	SF-03853
HP-SEC-00768266	HP-SEC-00768783	Hewlett-Packard	Joel Scott research binders (2) prepared by H-P		HP-SEC-032_PT00057672
HP-SEC-00768784	HP-SEC-00768976	Hewlett-Packard	1) Handwritten notes created or maintained by Leo Apotheker, Marge Brea, Emily Hsiao, Andy Johnson, Ray Lane, Cathie Lesjak, Jerome Levadoux, Shane Robison or Manish Sarin relating to 1) due diligence in connection with H-P's acquisition of Autonomy and 2) meetings with employees, officers or agents of Autonomy in connection with H-P's acquisition of Autonomy; 2) Documents, including handwritten notes, relating to mentions of Autonomy in calls between H-P management and H-P investors from August 18, 2011 to December 31, 2012;		HP-SEC-033
HP-SEC-00770738	HP-SEC-00772562	Hewlett-Packard	Docs from the following custodians: Leo Apotheker, Andrew Johnson, Catherine Lesjak, Sergio Letelier, Shane Robison, Manish Sarin, William Veghte, and Chris Yelland.	SEC-AUSA4-000019224 - SEC-AUSA4-000021047	SF-03853
HP-SEC-00772563	HP-SEC-00772603	Hewlett-Packard	2/16/14 Presentation to SEC (slide deck only)	SEC-AUSA5-EPROD-000118489 - SEC-AUSA5-EPROD- 000118529	SEC-AUSA5-EPROD_20150424
HP-SEC-00772604	HP-SEC-00773151	Hewlett-Packard	Presentation to the USAO & SEC, Binder		HP-SEC-B-018
HP-SEC-00773152	HP-SEC-00794436	Hewlett-Packard	E-mails from, to or copied to Meg Whitman or Cathie Lesjak, for the period May 1, 2012 through November 30, 2012, relating to Autonomy, Mike Lynch or Sushovan Hussain. Numerous other categories.	SEC-FBI5-000004004 - SEC-FBI5-000025288	SEC-FBI5-20151001
HP-SEC-00794437	HP-SEC-00795692	Hewlett-Packard	Replacement disk to 3/20/14 production	SEC-AUSA4-000021049 - SEC-AUSA4- 000022304	SF-03853

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-00794437	HP-SEC-00795692	Hewlett-Packard	Full document "families" for all exhibits included in Morgan, Lewis & Bockius LLP's February 26, 2014 presentation to SEC and USAO	SEC-AUSA4-000021049 - SEC-AUSA4-000022304	SF-03853
HP-SEC-00795693	HP-SEC-00796086	Hewlett-Packard	Binder of documents relating to Mike Sullivan	SEC-AUSA4-000022305 - SEC-AUSA4-000022698	SF-03853
HP-SEC-00796087	HP-SEC-00834437	Hewlett-Packard	Documents contained in the files of the previously agreed-upon Autonomy custodians concerning the MicroTech/Honeywell, Micro Tech/Bank of Montreal, and MicroTech/NRO transactions, and/or the accounting for such transactions.	SEC-AUSA4-000022699 - SEC-AUSA4-000061049	SF-03853
HP-SEC-00834438	HP-SEC-00859837	Hewlett-Packard	E-mails from, to or copied to Meg Whitman or Cathie Lesjak, for the period May 1, 2012 through November 30, 2012, relating to Autonomy, Mike Lynch or Sushovan Hussain and many other categories of documents.	SEC-AUSA4-000061050 - SEC-AUSA4-000086449	SF-03853
HP-SEC-00859838		Hewlett-Packard	Documents relating to Autonomy's accounting treatment of the hardware resale transactions	SEC-AUSA4-000086450	SF-03853
HP-SEC-00863323	HP-SEC-00865728	Hewlett-Packard	E-mails relating to any valuations of Autonomy; due diligence performed by H-P of Autonomy in connection with HP's acquisition of Autonomy; representations re Autonomy's financial condition; the IDOL OEM classifications; Lists of all sales made by Autonomy	SEC-AUSA4-000089935 - SEC-AUSA4-000092340	SF-03853
HP-SEC-00865729	HP-SEC-00918929	Hewlett-Packard	Documents and email gathered for the following custodians: Christopher Yelland, Andrew Johnson, Catherine Lesjak, Shane Robison, Manish Sarin, Leo Apotheker, Sergio Letelier, William Veghte, James Murrin, and Meg Whitman	SEC-AUSA4-000092341 - SEC-AUSA4-000145541	SF-03853
HP-SEC-00865729	HP-SEC-00918929	Hewlett-Packard	Documents and email gathered for the following custodians: Christopher Yelland, Andrew Johnson, Catherine Lesjak, Shane Robison, Manish Sarin, Leo Apotheker, Sergio Letelier, William Veghte, James Murrin, and Meg Whitman	SEC-AUSA4-000092341 - SEC-AUSA4-000145541	SF-03853
HP-SEC-00918930	HP-SEC-00918936	Hewlett-Packard	Betsy Branch Background Questionnaire	SEC-AUSA5-EPROD-000118628 - SEC-AUSA5-EPROD-000118628	SEC-AUSA5-EPROD_20150424
HP-SEC-00946301	HP-SEC-00946303	Hewlett-Packard	Electronic Data for custodian Pete Menell	SEC-AUSA4-000172906 - SEC-AUSA4-000172912	SF_03853
HP-SEC-00970499	HP-SEC-00970600	Hewlett-Packard	Documents relating to Mike Sullivan	SEC-AUSA4-000197104 - SEC-AUSA4-000197204	SF-03853

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-00970601	HP-SEC-00971148	Hewlett-Packard	Documents relating to Autonomy's accounting treatment of the software resale transactions, including all: (a) Documents; (b) Journal entries; (c) General and subsidiary ledger entries; Documents relating to Autonomy's accounting treatment of IDOL OEM classifications, including (a) Documents; (b) Journal entries	SEC-AUSA4-000197206 - SEC-AUSA4-000197753	SF-03853
HP-SEC-00971149	HP-SEC-00971966	Hewlett-Packard	Documents relating to Darren Gallagher, Fernando Lucini and Sean Blanchflower	SEC-AUSA4-000197754 - SEC-AUSA4-000198571	SF-03853
HP-SEC-00971967	HP-SEC-01397624	Hewlett-Packard	Email threads and copies of June 2 and June 5, 2014 productions	SEC-AUSA5-EPROD-000118629 - SEC-AUSA5-EPROD-000544286	SEC-AUSA5-EPROD_20150424
HP-SEC-01312134	HP-SEC-01312142	Hewlett-Packard	Statement of Work #7 Issued under First Amended and Restated Digital Safe Service Agreement		BAT0060
HP-SEC-01397486	HP-SEC-01397515	Hewlett-Packard	Email threads and copies of June 2 and June 5, 2014 productions	SEC-AUSA5-EPROD-000544148 - SEC-AUSA5-EPROD-000544176	SEC-AUSA5-EPROD_20150424
HP-SEC-01397515	HP-SEC-01397624	Hewlett-Packard	Email threads and copies of June 2 and June 5, 2014 productions	SEC-AUSA5-EPROD-000544177 - SEC-AUSA5-EPROD-000544286	SEC-AUSA5-EPROD_20150424
HP-SEC-01397625	HP-SEC-01398396	Hewlett-Packard	Documents relating to Livius Guiao	SEC-AUSA5-EPROD-000544287 - SEC-AUSA5-EPROD-000545058	SEC-AUSA5-PROD_20150424
HP-SEC-01398397	HP-SEC-01422211	Hewlett-Packard	Full email "threads" for produced documents	SEC-AUSA5-EPROD-000545059 - SEC-AUSA5-EPROD-000568873	SEC-AUSA5-PROD_20150424
HP-SEC-01422212	HP-SEC-01422212	Hewlett-Packard	Chris Chan email to Andy Kanter, dated May 16, 2012, in which Chris confirms that he wiped Steve Chamberlain's computer, as per instructions from Kanter.	SEC-AUSA5-EPROD-000568874	SEC-AUSA5-PROD_20150424
HP-SEC-01425268	HP-SEC-01425270	Hewlett-Packard	Email and purchase order	SEC-AUSA5-EPROD-000568875 - SEC-AUSA5-EPROD-000568877	SEC-AUSA5-PROD_20150424
HP-SEC-01425271	HP-SEC-01425332	Hewlett-Packard	Binders for HP Presentation on 11/12/15. Hard copy binders to be placed in War Room. Volume 1, tabs 1-62); Volume 2 (tabs 1-50)	SEC-AUSA5-EPROD-000568881 - SEC-AUSA5-EPROD-000568943	SEC-AUSA5-EPROD_20150424
HP-SEC-01425271	HP-SEC-01425887	Hewlett-Packard	Presentation to SEC staff of internal investigation findings re Autonomy	SEC-AUSA5-EPROD-000568881 - SEC-AUSA5-EPROD-000569571	SEC-AUSA5-PROD_20150424
HP-SEC-01425888	HP-SEC-01425907	Hewlett-Packard	1) Tab 69 of the 9/9/14 Presentation Materials binder: (HP-SEC-01425888-90); 2) Tab 40 of the 9/9/14 Presentation Materials binder: full family of documents associated with the Product Schedule (HP-SEC-01425891-907)	SEC-AUSA5-EPROD-000569572 - SEC-AUSA5-EPROD-000569591	SEC-AUSA5-PROD_20150424
HP-SEC-01425908	HP-SEC-01428967	Hewlett-Packard	Copy of 9/17/2014 production.	SEC-AUSA5-EPROD-000569592 - SEC-AUSA5-EPROD-000572651	HP-SEC-056 OR AUSA5-PROD_20150424
HP-SEC-01425908	HP-SEC-01428967	Hewlett-Packard	Various	SEC-AUSA5-EPROD-000569592 - SEC-AUSA5-EPROD-000572651	SEC-AUSA5-PROD_20150424

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01428968	HP-SEC-01429615	Hewlett-Packard	Full document "families" for all exhibits included in September 9, 2014 presentation to the SEC and the U. S. Attorney's Office	SEC-AUSA5-EPROD-000572652 - SEC-AUSA5-EPROD-000573299	SEC-AUSA5-PROD_20150424
HP-SEC-01429616	HP-SEC-01429649	Hewlett-Packard	Docs reflecting the amount of Autonomy equity owned by Lynch and Hussain over time	SEC-AUSA5-EPROD-000573300 - SEC-AUSA5-EPROD-000573333	SEC-AUSA5-PROD_20150424
HP-SEC-01429650	HP-SEC-01464170	Hewlett-Packard	Documents/ESI from the following custodians: Chris Goodfellow, Stephen Chamberlain, Andrew Kanter, Jim Crumbacher, Matt Stephan, Joel Scott, Mike Sullivan, Mike Mooney, Lisa Harris, Antonia Anderson, Percy Tejada, Neil Araujo, Brent Hogenson and Poppy Prentis.	SEC-AUSA5-EPROD-000573334 - SEC-AUSA5-EPROD-000607854	SEC-AUSA5-PROD_20150424
HP-SEC-01464171	HP-SEC-01468693	Hewlett-Packard	Documents/ESI from the following custodians: Antonia Anderson, Sushovan Hussain, Joel Scott, Stephen Chamberlain, Lisa Harris, Mike Mooney, Chris Goodfellow, Chris Yelland, Andy Kanter, Chris Chan, Neil Araujo, Jim Crumbacher, Nicole Eagan, Fernando Lucini and Stouffer Egan.	SEC-AUSA5-EPROD-000607855 - SEC-AUSA5-EPROD-000612377	HP-SEC-060 OR SEC-AUSA5-PROD_20150424
HP-SEC-01468694	HP-SEC-01469056	Hewlett-Packard	Documents/ESI from the following custodians: Antonia Anderson, Sushovan Hussain, Stephen Chamberlain, Lisa Harris, Mike Mooney and Neil Araujo.	SEC-AUSA5-EPROD-000612378 - SEC-AUSA5-EPROD-000612740	HP-SEC-061 OR SEC-AUSA5-PROD_20150424
HP-SEC-01469057	HP-SEC-01469080	Hewlett-Packard	Information regarding ESI available for Michael Lynch - (filling gap from 11/11/14 production)	SEC-AUSA5-EPROD-000612741 - SEC-AUSA5-EPROD-000612764	HP-SEC-062 OR SEC-AUSA5-ROD_20150424
HP-SEC-01469081	HP-SEC-01487752	Hewlett-Packard	1. Internal communications relating to hardware resale transactions or IDOL OEM classifications; 2. H-P organization charts; 3. Additional documents	SEC-AUSA5-EPROD-000612765 - SEC-AUSA5-EPROD-000631436	SEC-AUSA5-ROD_20150424
HP-SEC-01487753	HP-SEC-01497407	Hewlett-Packard	Documents relating to Autonomy's accounting treatment of the software resale transactions, including all: (a) Documents evidencing each software resale transaction, including contracts, purchase orders, sales orders, and all other source documents or agreements; (b) Journal entries for the initial recording of each software resale transaction and subsequent related entries; and (c) General and subsidiary ledger entries for the initial recording of each software resale transaction and subsequent related entries including documents to support the company's approval of revenue recognized.	SEC-AUSA5-EPROD-000631437 - SEC-AUSA5-EPROD-000641091	SEC-AUSA5-ROD_20150424
HP-SEC-01493745	HP-SEC-01493746	Hewlett-Packard	Autonomy invoices, rcvd via e-mail, 12/28/15		BAT0044
HP-SEC-01496891	HP-SEC-01496894	Hewlett-Packard	Microtech invoices, rcvd via e-mail, 12/28/15		BAT0044



January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01497408	HP-SEC-01504884	Hewlett-Packard	Documents relating to Autonomy's accounting treatment of IDOL OEM classifications, including (a) documents evidencing each sale classified as IDOL OEM revenue, including contracts, purchase orders, sales orders, and other source documents or agreements; (b) journal entries for the initial recording of each sale classified as IDOL OEM revenue and subsequent related entries; and (c) general and subsidiary ledger entries for the initial recording of each sale classified as IDOL OEM revenue and subsequent related entries including documents to support the company's approval of revenue recognized.	SEC-AUSA5-EPROD-000641092 - SEC-AUSA5-EPROD-000648568	SEC-AUSA5-ROD_20150424
HP-SEC-01504886		Hewlett-Packard	Native file of an .edc file. Encrypted version of the spreadsheet HP was able to decrypt on 11/19/14 and produce to JH via email as HP-SEC-01504886		SEC-AUSA5-EPROD-20150424
HP-SEC-01504887	HP-SEC-01544919	Hewlett-Packard	Various	SEC-FBI5-000025289 - SEC-FBI5-000065321	SEC-FBI5-20151001
HP-SEC-01544920		Hewlett-Packard	Excel file prepared by Capita Registrars and provides details regarding the Autonomy shareholders that accepted the Cash Offer detailed in the August 22, 2011 Offer Document associated with H-P's acquisition of Autonomy	SEC-AUSA5-EPROD-000648569	SEC-AUSA5-ROD_20150424
HP-SEC-01544921	HP-SEC-01545225	Hewlett-Packard	Copy of 12/15/2014 production.	SEC-AUSA5-EPROD-000648570 - SEC-AUSA5-EPROD-000648874	SEC-AUSA5-PROD_20150424
HP-SEC-01544921	HP-SEC-01545225	Hewlett-Packard	Documents relating to nature and effect of Autonomy conduct in US	SEC-AUSA5-EPROD-000648570 - SEC-AUSA5-EPROD-000648874	HP-SEC-069
HP-SEC-01545212	HP-SEC-01545225	Hewlett-Packard	MRL March 2010 itinerary (Air Charter Service)		HP-SEC-070 PDF
HP-SEC-01545226	HP-SEC-01546943	Hewlett-Packard	1) Email gathered for Rachel Scott; 2) three documents previously produced to the Commission via email on December 12, 2014: the preaction letters issued by H-P to Michael Lynch and to Sushovan Hussain, and an excel spreadsheet annexed to the same (Bates labeled HP-SEC-01545226-D11001545389) (out of sequence)	SEC-AUSA5-EPROD-000648875 - SEC-AUSA5-EPROD-000650592	SEC-AUSA5-PROD_20150424
HP-SEC-01546944	HP-SEC-01547618	Hewlett-Packard	Documents including communications with Fidelity U.S	SEC-AUSA5-EPROD-000650593 - SEC-AUSA5-EPROD-000651267	HP-SEC-073
HP-SEC-01547619	HP-SEC-01548223	Hewlett-Packard	Documents relating to James Crumbacher and others	SEC-AUSA5-EPROD-000651268 - SEC-AUSA5-EPROD-000651909	SEC-AUSA5-PROD_20150424
HP-SEC-01547619	HP-SEC-01547655	Hewlett-Packard	Docs related to conversion of Autonomy stock options or shares to H-P shares and/or receipt of H-P stock.	SEC-AUSA5-EPROD-000651268 - SEC-AUSA5-EPROD-000651340	SEC-AUSA5-PROD_20150424

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01548224	HP-SEC-01548231	Hewlett-Packard	Information relating to the bank accounts held by Sushovan Hussain, Michael Lynch and Autonomy Systems Limited and a bank statement reflecting the last payment HP made to Hussain and copies of statements from each bank account held by Autonomy Systems Ltd.	SEC-AUSA5-EPROD-000651910 - SEC-AUSA5-EPROD-000651917	SEC-AUSA5-PROD_20150424
HP-SEC-01548232	HP-SEC-01548257	Hewlett-Packard	1/23/15 letter that H-P received from Deloitte's counsel in response to its 9/30/14 Letter before Claim	SEC-AUSA5-EPROD-000651918 - SEC-AUSA5-EPROD-000651918	SEC-AUSA5-EPROD-20150424
HP-SEC-01548258	HP-SEC-01548433	Hewlett-Packard	1. documents referenced at Paragraph 233.1 of the pre-action letter H-P issued to Michael Lynch, which H-P produced on December 23, 2014. H-P produced the requested documents via email on February 6, 2015. 2. documents referenced in telephone call on February 3, 2015 regarding the number of Autonomy shares Michael Lynch, Sushovan Hussain, Andy Kanter, Steve Chamberlain, Stouffer Egan and Joel Scott held on August 18, 2011 and October 3, 2011.	SEC-AUSA5-EPROD-000651919 - SEC-AUSA5-EPROD-000652105	SEC-AUSA5-PROD_20150424
HP-SEC-01548258	HP-SEC 01548268	Hewlett-Packard	1) March 2011 "Lion" powerpoint which was produced under Bates No. HP-SEC-00863572. 2) July 27, 2011 email between Kanter and Hussain attaching the "Tesla Question List" referenced at Paragraph 233.1 of the pre-action letter H-P issued to Michael Lynch, which H-P produced on December 23, 2014	SEC-AUSA5-EPROD-000651919 - SEC-AUSA5-EPROD-000651930	SEC-AUSA5-PROD_20150424
HP-SEC-01548434	HP-SEC-01548620	Hewlett-Packard	Exhibits provided during March 13, 2015 presentation to the SEC and USAO (out of sequence)	SEC-AUSA5-EPROD-000652106 - SEC-AUSA5-EPROD-000652292	SEC-AUSA5-PROD_20150424
HP-SEC-01548621	HP-SEC-01548764	Hewlett-Packard	Mike Lynch's March 18, 2015 response to HP's December 12, 2014 Letter	SEC-AUSA5-EPROD-000652293 - SEC-AUSA5-EPROD-000652295	SEC-AUSA5-PROD_20150424
HP-SEC-01548765	HP-SEC-01548796	Hewlett-Packard	Documents relating to SMS entries	SEC-AUSA5-EPROD-000652296 - SEC-AUSA5-EPROD-000652327	SEC-AUSA5-PROD_20150424
HP-SEC-01548797	HP-SEC-01548833	Hewlett-Packard	Subset of documents produced on January 16, 2015 assigned Bates numbers HP-SEC-01547619-1547655. These docs overlapped with the Bates numbers assigned to documents already produced on January 8, 2015. To address this issue, HP assigned new Bates numbers to the January 8, 2015 production, now HP-SEC-01548797-HP-SEC-01548833	SEC-AUSA5-EPROD-000652328 - SEC-AUSA5-EPROD-000652364	SEC-AUSA5-PROD_20150424
HP-SEC-01548834	HP-SEC-01549762	Hewlett-Packard	Documents relating to Antonia Anderson		HP-SEC-081
HP-SEC-01548834	HP-SEC-01549762	Hewlett-Packard	Documents relating to Antonia Anderson		HP-SEC-081

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01550393	HP-SEC-01569325	Hewlett-Packard	Documents for the following custodians: Sushovan Hussain, Andrew Kanter, Steven Chamberlain, Nicole Eagan, Peter Menell, Poppy Prentis, Antonia Anderson, Neil Araujo, Ian Black, Paula Boddy, Randy Cairns, Chris Chan, Jim Crumbacher, Chris Goodfellow, Lisa Harris, Fernando Lucini, Mike Mooney, Joel Scott, Matt Stephan, Stouffer Egan, Mike Sullivan, Dan Truitt and Woody Walton, responsive to Requests 19 and 20		HP-SEC-082
HP-SEC-01569326	HP-SEC-01573946	Hewlett-Packard	Documents for the following custodians: Leo Apotheker, Andrew Johnson, Catherine Lesjak, Sergio Letelier, James Murrin, Shane Robison, Manish Sarin, William Veghte, Meg Whitman and Chris Yelland, responsive to Requests 21 and 30		HP-SEC-082
HP-SEC-01574445	HP-SEC-01574461	Hewlett-Packard	1) Slide deck summarizing the claims and damages asserted in HP's UK claim against Michael Lynch and Sushovan Hussain discussed during April 21, 2015 meeting with the Staff (HP-SEC-01574445-55) 2) HP's April 29, 2015 response to the March 27, 2015 letter sent by Hussain's UK counsel (HP-SEC-015574456-61)		HP-SEC-B-017
HP-SEC-01575111	HP-SEC-01575649	Hewlett-Packard	Presentation binder	USAO 00001178 - USAO 00001693	HP-Autonomy20
HP-SEC-01575611	HP-SEC-01575649	Hewlett-Packard	Sushovan Hussain quarterly revenue spreadsheets		BAT0060
HP-SEC-01575699	HP-SEC-01575908	Hewlett-Packard	Executed copies of the compromise agreements relating to Sushovan Hussain, Andrew Kanter and Peter Menell.		SEC-06242015-prod
HP-SEC-01575700	HP-SEC-01575782	Hewlett-Packard	Electronic Data for custodian Andrew Kanter		SF-03853 OR HP-SEC-084
HP-SEC-01575909	HP-SEC-01578001	Hewlett-Packard	Documents relating to communications and issues relevant to Chris Yelland after he began working for Autonomy in Spring 2012.		HP-SEC-085
HP-SEC-01578002	HP-SEC-01578152	Hewlett-Packard	Documents relating to MicroLink Employee Alan Rizek; Documents relating to certain VAR transactions; A copy of the July 3, 2012 draft interview note that Freshfields Bruckhaus Deringer attorney Geoff Nicholas prepared in regards to his and John Schultz's June 29, 2012 meeting with Mike Lynch; and invoices billed by Deloitte to Autonomy for professional services rendered in connection with Deloitte's quarterly and annual reviews between 2009 and 2011.		SEC-07232015-prod
HP-SEC-01578153	HP-SEC-01580269	Hewlett-Packard	Presentation binder (4 Binders)		BAT0128

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01580166	HP-SEC-01580174	Hewlett-Packard	Response to meetings and interview of Betsy Branch on July 15, 2015: 1) Timing of Autonomy's 2010 Annual Report and Meetings with HP; 2) HP's Damages Analysis; 3) Evidence of Mike Lynch's Termination from HP; (4) Autonomy's Q2 2011 VSOE Analysis; and 5) HP's Sub-Certification Process		BAT0128
HP-SEC-01580292	HP-SEC-01589194	Hewlett-Packard	CD of documents pertaining to: Leo Apotheker; Betsy Branch; John Cronin; Chris Culine; Brent Hogenson; Robert Mark; Mike Mooney Shane Robison; Jim Still		HP-SEC-094
HP-SEC-01582064		Hewlett-Packard	Documents relating to Shane Robison		HP-SEC-094
HP-SEC-01582370	HP-SEC-01582636	Hewlett-Packard	Documents relating to MicroLink Employee Alan Rizek; Documents relating to certain VAR transactions; A copy of the July 3, 2012 draft interview note that Freshfields Bruckhaus Deringer attorney Geoff Nicholas prepared in regards to his and John Schultz's June 29, 2012 meeting with Mike Lynch; and invoices billed by Deloitte to Autonomy for professional services rendered in connection with Deloitte's quarterly and annual reviews between 2009 and 2011.		SEC-07232015-prod
HP-SEC-01582637	HP-SEC-01583226	Hewlett-Packard	Communications and information relevant to John Cronin - a hard copy of "John Cronin Documents" and a disc containing the native versions of the spreadsheets referenced within the "John Cronin Documents" binder.		HP-SEC-094
HP-SEC-01583331	HP-SEC-01585897	Hewlett-Packard	Communications and information relevant to Brent Hogenson		HP-SEC-094
HP-SEC-01584028	HP-SEC-01641730	Hewlett-Packard	E-Production binders for witness: Chang, Broli, Yelland, Kelly, Brown, and Cornelius		HP-SEC-094
HP-SEC-01585898	HP-SEC-01585905	Hewlett-Packard	Spreadsheet materials provided by HP finance regarding the statutory accounts for Autonomy Systems Ltd. ("ASL") for the period ending October 31, 2011, filed on January 31, 2014.		08042015
HP-SEC-01585984	HP-SEC-01586296	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and form and current HP employees: 1) A hard copy of "Robert Mark Documents" binder containing documents relevant to former Autonomy employee Robert Mark and his involvement in the Citi deal; 2) Computer disc containing the native versions of the spreadsheets referenced in the binder.		HP-SEC-094

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01586627	HP-SEC-0158668	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Chris Culine Documents" binder containing documents relevant to former Autonomy employee Chris Culine and his involvement in a Dell-Hyatt deal; 2) Additional information pertaining to Chris Culine - please see revenue spreadsheets maintained by former Autonomy CFO Sushovan Hussain at HP-SEC-00739265 - HP-SEC00739944 and HP-SEC-00739022 - HP-SEC-00739264.		HP-SEC-094
HP-SEC-01586669	HP-SEC-01586795	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Rob Sass Documents" binder containing documents relevant to former Autonomy employee Rob Sass and his involvement in a Bank of Montreal (BMO) deal; 2) Spreadsheet reflecting data exported from legacy Autonomy's SMS database.		HP-SEC-094
HP-SEC-01586796	HP-SEC-01587821	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Mike Mooney Documents" binder containing documents relevant to former Autonomy employee Mike Mooney; 2) Computer disc containing the native versions of the spreadsheets referenced in the binder; and 3) Spreadsheet reflecting data exported from legacy Autonomy's SMS database.		HP-SEC-094
HP-SEC-01587822	HP-SEC-01588196	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and form and current HP employees: 1) A hard copy of "Jim Still Documents" binder containing documents relevant to former Autonomy employee Jim Still and his involvement in a Department of Interior deal and a Department of Veteran Affairs deal; 2) Computer disc containing the native versions of the spreadsheets referenced in the binder; 3) Spreadsheet reflecting data exported from legacy Autonomy's SMS database; and 4) Additional information pertaining to Jim Still, please see revenue spreadsheets maintained by former Autonomy CFO Sushovan Hussain at HP-SEC-00739265 - HP-SEC-00739944 and HP-SEC-00739022		HP-SEC-094

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01588197	HP-SEC-01589194	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Leo Apotheker Documents" binder containing documents relevant to former Autonomy employee Leo Apotheker and his involvement in HP's acquisition of Autonomy; and 2) Computer disc containing the native versions of the spreadsheets referenced in the binder		HP-SEC-094
HP-SEC-01589195	HP-SEC-01581078	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Meeta Sunderwala Documents" binder containing documents relevant to former Autonomy employee Meeta Sunderwala; and 2) Computer disc containing the native versions of the spreadsheets referenced in the binder		HP-SEC-097
HP-SEC-01591079	HP-SEC-01591281	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and form and current HP employees: 1) A hard copy of "Jim Krakoski Documents" binder containing documents relevant to current Autonomy employee Jim Krakoski and his involvement in the Bank of America deals; 2) A spreadsheet reflecting data exported from legacy Autonomy's SMS database; 3) Additional information pertaining to Jim Krakoski, please see revenue spreadsheets maintained by former Autonomy CFO Sushovan Hussain at HP-SEC-00739265 - HP-SEC-00739944 and HP-SEC-00739022; and 4) Computer disc containing the native versions of the spreadsheets referenced in the binder		HP-SEC-097
HP-SEC-01591282	HP-SEC-01591945	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Patrick Ryan Documents" binder containing documents relevant to current Autonomy employee Patrick Ryan and his involvement in the Bank of Montreal deal; 2) A spreadsheet reflecting data exported from legacy's Autonomy's SMS database; 3) Additional information pertaining to Patrick Ryan, please see revenue spreadsheets maintained by former CFO Sushovan Hussain; and computer disc containing the native versions of the spreadsheets referenced in the binder		HP-SEC-097

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01591946	HP-SEC-01591976	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Meeta Sunderwala Documents" binder containing documents relevant to former Autonomy employee Meeta Sunderwala; and 2) Computer disc containing the native versions of the spreadsheets referenced in the binder		HP-SEC-097
HP-SEC-01591977	HP-SEC-01592580	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Ganesh Vaidyanathan Documents" binder containing documents relevant to former Autonomy employee Ganesh Vaidyanathan; and 2) Computer disc containing the native versions of the spreadsheets referenced in the binder		HP-SEC-097
HP-SEC-01592634	HP-SEC-01592913	Hewlett-Packard	1) Redacted and Unredacted versions of "Top 40" Contracts; and 2) Statutory Accounts for Autonomy Systems Ltd. ("ASL") filed in 2009 and 2010		08262015_2
HP-SEC-01592914	HP-SEC-01593910	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Derek Brown Documents" binder containing documents relevant to former Autonomy employee Derek Brown; and 2) Computer disc containing the native versions of the spreadsheets referenced in the binder		HP-SEC-097
HP-SEC-01593911 HP-SEC-01595009	HP-SEC-01594027 HP-SEC-01595013	Hewlett-Packard	Docs pertaining to the compensation Stouffer Egan and Joel Scott received in 2009-2011		HP-SEC-092
HP-SEC-01594028	HP-SEC-01595008	Hewlett-Packard	Chris Yelland - UK Statutory Accounts Documents		BAT0065
HP-SEC-01594343; HP-SEC-01372585; HP-SEC-01039874	HP-SEC-01594349; HP-SEC-01372588	Hewlett-Packard	Tikit Documents		BAT0065
HP-SEC-01595014	HP-SEC-01595041	Hewlett-Packard	Chris Yelland Documents		BAT0065
HP-SEC-01595042	HP-SEC-01595940	Hewlett-Packard	Michael Chang Documents		BAT0065
HP-SEC-01595941	HP-SEC-01596776	Hewlett-Packard	Corrado Broli Documents		BAT0065



January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01596808	HP-SEC-01597894	Hewlett-Packard	Communication and information relevant to former and current Autonomy employees and former and current HP employees: 1) A hard copy of "Jeff Cornelius Documents" binder containing documents relevant to former Autonomy employee Corrado Broli and his involvement in the Vatican deal; 2) A spreadsheet reflecting data exported from legacy Autonomy's SMS database; 3) Additional information pertaining to Jeff Cornelius, Eli Lilly and McAfee, please see revenue spreadsheets maintained by former Autonomy CFO Sushovan Hussain which can be found at HP-SEC-00739265 - HP-SEC-00739944 and HP-SEC-00739022 - HP-SEC-00739264; and 4) Computer disc containing the native versions of the spreadsheets referenced in the binder		BAT0065
HP-SEC-01597965	HP-SEC-01598514	Hewlett-Packard	Derek Brown Supplemental Documents		BAT0065
HP-SEC-01631882	HP-SEC-01639577	Hewlett-Packard	Documents relating to Yelland		BAT0067
HP-SEC-01639578	HP-SEC-01641730	Hewlett-Packard	Frank Kelly documents.		BAT0066
HP-SEC-01641732	HP-SEC-01641783	Hewlett-Packard	Powerpoint slides of earnings calls from 1/1/2008 through June 2011, Hussain and Chamberlain's quarterly narrative descriptions and Autonomy Quarterly documents.		HP-SEC-B-016
HP-SEC-01641784	HP-SEC-01643168	Hewlett-Packard	Documents related to compensaiton received by Lynch, Hussain, Chamberlain, Menell and Kanter for period 2009-2011.		BAT0062
HP-SEC-01643205	HP-SEC-01648474	Hewlett-Packard	Documents related to compensaiton received by Lynch, Hussain, Chamberlain, Menell and Kanter for period 2009-2011.		BAT0063
HP-SEC-01648475	HP-SEC-01648757	Hewlett-Packard	Hosting contracts with customers: BofA, BP Citadel, Metropolitan Life and JP Morgan Chase.		HPSEC101
HP-SEC-01648758	HP-SEC-01672696	Hewlett-Packard	Documents relating to Lisa Harris' unauthorized removal and copying of data from her company laptop to a USB device		BAT0072
HP-SEC-01648758	HP-SEC-01648857	Hewlett-Packard	Lisa Harris documents		BAT0072
HP-SEC-01648858	HP-SEC-01649454	Hewlett-Packard	Presentation binder		BAT0158
HP-SEC-01649455	HP-SEC-01649740	Hewlett-Packard	Presentation binder		BAT0158
HP-SEC-01649741	HP-SEC-01649742	Hewlett-Packard	Lisa Harris documents		BAT0072
HP-SEC-01649743	HP-SEC-01651148	Hewlett-Packard	Replacement disc, for corrupt disc issued 11/25/15, see MLB ltr dtd 12/14/15		BAT0064
HP-SEC-01651149		Hewlett-Packard	Spreadsheet prepared by Chris Yelland in conneciton with ASL 2011 Statutory Accounts.		MISC NATIVES
HP-SEC-01651488	HP-SEC-01652897	Hewlett-Packard	Miscellaneous documents from HP including Slaughter & May (Acquisition) deal documents		BAT0044
HP-SEC-01652898	HP-SEC-01654041	Hewlett-Packard	Lisa HARRIS documents		HP-SEC-106A
HP-SEC-01654042	HP-SEC-01656240	Hewlett-Packard	Docs relating to hardware transactions		BAT0068
HP-SEC-01654691	HP-SEC-01654764	Hewlett-Packard	First Amended and Restated Zantaz Digital Safe Service Agreement		BAT0068

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01656260	HP-SEC-01656571	Hewlett-Packard	Auxilium/BAV transaction		BAT0044
HP-SEC-01656850	HP-SEC-01658604	Hewlett-Packard	Documents relating to bank accounts		BAT0071
HP-SEC-01658605	HP-SEC-01661717	Hewlett-Packard	Q4 2009 to Q1 2011, Autonomy's sale and/or licensing of its IDOL SPE product, for customer Capx, FileTek, Morgan Stanley, Vidient, Auxilium, Micro Tech, Car Craft, JS info System, Tottenham, Play Ltd.		BAT0070
HP-SEC-01661456	HP-SEC-01661597	Hewlett-Packard	James Krakoski documents		BAT0070
HP-SEC-01661598	HP-SEC-01672536	Hewlett-Packard	Presentation binder (5 Binders)		HP-SEC-B-001
HP-SEC-01661923	HP-SEC-01661925	Hewlett-Packard	Documents related to 10/4/11 e-mail from Capita		BAT0113
HP-SEC-01662304	HP-SEC-01669346	Hewlett-Packard	Andrew KANTER documents		HP-SEC-115A
HP-SEC-01672697	HP-SEC-01674938	Hewlett-Packard	Andrew Johnson documents		BAT0060
HP-SEC-01674939	HP-SEC-01674977	Hewlett-Packard	Documents relating to MicroLink, LLC		BAT0056
HP-SEC-01674978	HP-SEC-01675313	Hewlett-Packard	Documents relating to UK Civil Action IRW Michael Lynch		BAT0058
HP-SEC-01675314	HP-SEC-01675319	Hewlett-Packard	Documents related to "Organic Growth sh"		BAT0058
HP-SEC-01675320	HP-SEC-01675378	Hewlett-Packard	Presentation binder		HP-SEC-B-004
HP-SEC-01675379	HP-SEC-01675397	Hewlett-Packard	Zantaz Digital Safe Service Agreement		BAT0060
HP-SEC-01675398	HP-SEC-01675730	Hewlett-Packard	Bill Veghte Documents		BAT0072
HP-SEC-01675736	HP-SEC-01676321	Hewlett-Packard	Chris Goodfellow Documents		BAT0084
HP-SEC-01676322	HP-SEC-01678952	Hewlett-Packard	Marc Geall Documents		HPSEC122
HP-SEC-01678953	HP-SEC-01679027	Hewlett-Packard	Presentation binder		HP-SEC-122A
HP-SEC-01679028	HP-SEC-1679141	Hewlett-Packard	Docs responsive to request for details of the general ledger pertaining to the MicroTech/internal use transaction recorded in Q1 2011.		BAT0084
HP-SEC-01679145	HP-SEC-1680017	Hewlett-Packard	Tom Rimmer documents		HPSEC123
HP-SEC-01680018	HP-SEC-01692154	Hewlett-Packard	Due Diligence Communications		BAT0087 TO 89
HP-SEC-01692155	HP-SEC-01692408	Hewlett-Packard	Docs related to May 5, 2016, meeting in Cambridge, UK		HPSEC125
HP-SEC-01692409		Hewlett-Packard	Security footage of AU's Cambridge office		MISC NATIVES
HP-SEC-01692410	HP-SEC-01692443	Hewlett-Packard	Sales Consulting documents		BAT0110
HP-SEC-01692481	HP-SEC-01692483	Hewlett-Packard	Access to the accounting software to pull the "top 40 customers"		MISC NATIVES
HP-SEC-01692484	HP-SEC-01692971	Hewlett-Packard	Mathew Brumbaugh documents		BAT0110
HP-SEC-01692972		Hewlett-Packard	Top 40 contracts		MISC NATIVES
HP-SEC-01692973	HP-SEC-01693505	Hewlett-Packard	Evidence of other side letter agreements, Andrew Kanter signed on behalf of AU; Docs re Sept 29, 2008, "side letter" agreement involving AU, Meridio Limited and Kainos Software Ltd.		BAT0110
HP-SEC-01693506		Hewlett-Packard	Hardware analysis		MISC NATIVES
HP-SEC-01693507	HP-SEC-01693532	Hewlett-Packard	a) annual revenue adjustments from FY 2009 - 10/2011, as reported in the 2011 statutory accounts of AU Systems Ltd ("ASL"); (b) analysis of qtrly revenue adjustments to AU's consolidated financial results from Q1 2009 to 10/2011; (c) the "tieback" of the revenue analysis to AU's books and records; and (2) docs concerning the Jan - Apr 2012 reversals and accruals of \$5.5 million in revenue, including relevant reports from Autonomy's general ledger system (DDS).		BAT0110

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01693533	HP-SEC-01693553	Hewlett-Packard	Documents relating to geographic locations of Chamberlain; Joel Scott; Andy Kanger, and Manish Sarin		BAT0110
HP-SEC-01693554	HP-SEC-01693676	Hewlett-Packard	Documents regarding Second Amendment of 3/31/2009 License and Distribution Agreement, Autonomy & Capax.		BAT0113
HP-SEC-01693677	HP-SEC-01695874	Hewlett-Packard	Documents produced during the July 15, 2016 presentation		PRESENTATION_PT00078728
HP-SEC-01695875	HP-SEC-01696652	Hewlett-Packard	Hardware deals between Autonomy and Zones for end users Target Corporation ("Target"), H&R Block, and Oracle Corporation ("Oracle"), and for evidence of software deals between Autonomy and these same end users.		BAT0113
HP-SEC-01696653	HP-SEC-01696695	Hewlett-Packard	Communications between Autonomy and Bank of America relating to SHI during the time period from November 2009 to May 2012		BAT0113
HP-SEC-01696696	HP-SEC-01697790	Hewlett-Packard	Mike Lynch's calendar entries from January 2009 until his departure from HP in May 2012.		HP-SEC-138
HP-SEC-01697791	HP-SEC-01697792	Hewlett-Packard	Documents related to 10/4/11 e-mail from Capita		BAT0113
HP-SEC-01697793	HP-SEC-01698900	Hewlett-Packard	Discovery documents received in response to the July 22, 2016 GJ Subpoena		BAT0113
HP-SEC-01698901	HP-SEC-01709138	Hewlett-Packard	Communications between HP and Duff & Phelps regarding Autonomy from October 2011 through December 2012		HP-SEC-139
HP-SEC-01709139	HP-SEC-01724762	Hewlett-Packard	Communications between Hussain, Chamberlain, and/or Autonomy finance and HP finance during the period September 2012 and May 2012		HP-SEC-140
HP-SEC-01724763		Hewlett-Packard	Documents relating to HP share certificates issued/surrendered		BAT0162
HP-SEC-01724764	HP-SEC-01724833	Hewlett-Packard	Sushovan Hussain's expense reports for his trips to the United States between February 12, 2011 and March 9, 2011, as well as his trips in April and August 2011; (2) evidence of Hussain's whereabouts on February 22, 2011; and (3) evidence of how the February 22, 2011 management representation letter signed by Hussain on behalf of the board.		HP-SEC-141
HP-SEC-01724817	HP-SEC-01724833	Hewlett-Packard	Expense report for S. Hussain for trips made in 2011.		HP-SEC-142
HP-SEC-01724834	HP-SEC-01727112	Hewlett-Packard	Documents relating to efforts to sell software to Fannie Mae, Target, H&R Block, Bank of New York Mellon, Progressive, Union Pacific Railroad, RBC Capital, Lyme Computer Systems, BBVA, Serco, SAC Capital, and Wells Fargo, including but not limited to, marketing proposals, license agreements, and any reference to Autonomy's sale of hardware for the benefit of such customers		HP-SEC-143

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01727113	HP-SEC-01727115	Hewlett-Packard	Document entitled "FY13-FY22 Forecast DRAFT v2.3, (plus later and final version of that doc.), in response to request for the document titled "Original Model w Rebaselined..		HP-SEC-144
HP-SEC-01727116	HP-SEC-01727126	Hewlett-Packard	Documents relevant to Autonomy's August 18, 2011 release confirming discussions with HP		HP-SEC-145
HP-SEC-01727127	HP-SEC-01727156	Hewlett-Packard	Metadata analysis of emails from Capita Registrars containing links to letters to the Directors of Hewlett-Packard Vision B.V., a January 26, 2011 email from Joel Scott to Steve Chamberlain, an August 4, 2011 email from Anthony Hartley to unidentifiable recipients, and an August 5, 2011 email from Andy Kanter to Emma Walton.		HP-SEC-146
HP-SEC-01727157	HP-SEC-01733802	Hewlett-Packard	Analysis and supporting documents relating to all Autonomy sales of hardware from January 1, 2010 to October 3, 2011 to hardware resellers SHI, Zones, Insight, Metro Business Systems, and Amulet Hotkey, and to customers Union Pacific Railroad, Bank of New York Mellon, and Fannie Mae.		HP-SEC-147
HP-SEC-01733803	HP-SEC-01733812	Hewlett-Packard	Documents relevant to Autonomy's August 18, 2011 release confirming discussions with HP		HP-SEC-148
HP-SEC-01733813	HP-SEC-01733815	Hewlett-Packard	Metadata analysis of emails from Capita Registrars containing links to letters to the Directors of Hewlett-Packard Vision B.V., a January 26, 2011 email from Joel Scott to Steve Chamberlain, an August 4, 2011 email from Anthony Hartley to unidentifiable recipients, and an August 5, 2011 email from Andy Kanter to Emma Walton.		HP-SEC-149
HP-SEC-01733816	HP-SEC-01751589	Hewlett-Packard	Contents of the Autonomy "virtual data room" hosted in connection with HP's pre-acquisition due diligence on Autonomy		HP-SEC-150
HP-SEC-01751590	HP-SEC-01771455	Hewlett-Packard	Contents of the Autonomy "virtual data room" hosted by Merrill Corporation in connection with HP's pre-acquisition due diligence on Autonomy		HP-SEC-151
HP-SEC-01771456	HP-SEC-01771467	Hewlett-Packard	Analysis and supporting documents relating to all Autonomy sales of hardware from January 1, 2010 to October 3, 2011 to hardware resellers SHI, Zones, Insight, Metro Business Systems, and Amulet Hotkey, and to customers Union Pacific Railroad, Bank of New York Mellon, and Fannie Mae.		HP-SEC-152
HP-SEC-01771468	HP-SEC-01771548	Hewlett-Packard	September 21, 2016 letter and attachments addressing the damages/valuation model prepared by HPE in the UK civil litigation		HP-SEC-153

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01771549	HP-SEC-01790655	Hewlett-Packard	Documents relating to efforts to sell software to Fannie Mae, Target, H&R Block, Bank of New York Mellon, Progressive, Union Pacific Railroad, RBC Capital, Lyme Computer Systems, BBVA, Serco, SAC Capital, and Wells Fargo, including but not limited to, marketing proposals, license agreements, and any reference to Autonomy's sale of hardware for the benefit of such customers		HP-SEC-154
HP-SEC-01790656	HP-SEC-01797984	Hewlett-Packard	Analysis and supporting documents relating to all Autonomy sales of hardware from January 1, 2010 to October 3, 2011 to hardware resellers SHI, Zones, Insight, Metro Business Systems, and Amulet Hotkey, and to customers Union Pacific Railroad, Bank of New York Mellon, and Fannie Mae		HP-SEC-155
HP-SEC-01797985	HP-SEC-01798055	Hewlett-Packard	Due diligence-related calls and meetings between July 29, 2011 and August 31, 2011.		HP-SEC-156
HP-SEC-01798056	HP-SEC-01798761	Hewlett-Packard	Presentation binder		HP-SEC-B-002
HP-SEC-01798056	HP-SEC-01798761	Hewlett-Packard	Presentation to the USAO, Binder		
HP-SEC-01798762	HP-SEC-01798817	Hewlett-Packard	"Hussain Spreadsheet" between March 25, 2011 and April 15, 2011.		HP-SEC-157
HP-SEC-01798818	HP-SEC-01798826	Hewlett-Packard			HP-SEC-158
HP-SEC-01798827		Hewlett-Packard			HP-SEC-159
HP-SEC-01798864	HP-SEC-01805749	Hewlett-Packard	Documents produced by Morgan, Lewis & Bockius on October 20, 2016 in connection with the UK civil action		HP-SEC-160
HP-SEC-01805750	HP-SEC-01812844	Hewlett-Packard	Documents produced by Morgan, Lewis & Bockius in connection with the UK civil action.		HP-SEC-161
HP-SEC-01812845	HP-SEC-01813535	Hewlett-Packard	Presentation binder		HP-SEC-B-012
HP-SEC-01813537	HP-SEC-01813599	Hewlett-Packard	Documents responsive to request for documents evidencing four transactions as follows: (1) Autonomy's September 30, 2009 deal with TXU; (2) Autonomy's purchase of \$1 million of HP hardware through Insight for Zenith; (3) Autonomy's sale to the Dubai Police, which included AU software and HP hardware; (4) Autonomy's \$200,000 purchase of HP hardware directly from HP with the Department of Defense as the end-user on April 4, 2011.		BAT0114
HP-SEC-01813600	HP-SEC-01814007	Hewlett-Packard	Presentation binder		HP-SEC-B-008
HP-SEC-01813600	HP-SEC-01813602	Hewlett-Packard	Updated list which quantifies the values of each of the transactions set forth in HP-SEC-01813599 and copies of the documents identified and referenced in HP-SEC-01813599		BAT0114
HP-SEC-01814008	HP-SEC-01814041	Hewlett-Packard	(1) November 25, 2016 letters from HPE's UK counsel to UK counsel for Lynch and Hussain pertaining to HPE's disclosures and (2) HPE's November 25, 2016 disclosure statement made pursuant to the Court's order.		HP-SEC-162

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HP-SEC-01814042	HP-SEC-01815371	Hewlett-Packard	Documents relating to Chris Goodfellow		HP-SEC-B-009
HP-SEC-01815372	HP-SEC-01815748	Hewlett-Packard	Documents relating to Marc Geall		HP-SEC-B-010
HP-SEC-01815749	HP-SEC- 01817604	Hewlett-Packard	December 31, 2010 Second Amended Software License Agreement between Autonomy, Inc. and Video Monitoring Services of America ("VMS"),		HP-SEC-163
HP-SEC-01817605	HP-SEC-01818048	Hewlett-Packard	Documents related to Sean Blanchflower		HP-SEC-164
HP-SEC-01818049	HP-SEC-01818889	Hewlett-Packard	AU Q2 Earnings		HP-SEC-B-015
HP-SEC-01818890	HP-SEC-01818900	Hewlett-Packard	Documents relating to the "Godfather Skit"		HP-SEC-B-011
HP-SEC-01818901	HP-SEC-01828988	Hewlett-Packard	Documents related to Stephen Chamberlain		HP-SEC-165
HP-SEC-01828989	HP-SEC-01830655	Hewlett-Packard	Presentation binder		HP-SEC-B-014
HP-SEC-01830657	HP-SEC-01837382	Hewlett-Packard	Qtr by qtr analysis of market expectation		HP-SEC-167
HP-SEC-01837383	HP-SEC-01837558	Hewlett-Packard	Photographs depicting the interior of AU's Cambridge office		HP-SEC-168
HP-SEC-01837559	HP-SEC-01839177	Hewlett-Packard	Emily Hsiao and Varoon Bhagat documents		HP-SEC-169
HP-SEC-01839178		Hewlett-Packard	Spreadsheet prepared by IPREO indentifying daily trades in HP stock between 8/18/11-8/24/11		HP-SEC-170
HP-SEC-01839179	HP-SEC-01840520	Hewlett-Packard	Documents pertaining to numerous AU hardware transactions		HP-SEC-171
HP-SEC-01840521	HP-SEC-01841669	Hewlett-Packard	Notebooks maintained by Stephen Chamberlain		BAT0164
HP-SEC-01841670	HP-SEC-01844060	Hewlett-Packard	AU's hardware transactions with Zones for end user Target		HP-SEC-173
HP-SEC-01845606	HP-SEC-01846368	Hewlett-Packard	Autonomy hardware transactions with Zones for end user Oracle.		HP-SEC-174
HP-SEC-01846369	HP-SEC-01847323	Hewlett-Packard	Docs relating to AU's misrepresentations re IDOL SPE to HPE during acquisition due diligence.		HP-SEC-175_updated
HP-SEC-01847324	HP-SEC-01853156	Hewlett-Packard	AU hardware transactions from Q3 2009 through Q3 2011, with Citicorp North America ("Citi")		HP-SEC-176
HP-SEC-01853159	HP-SEC-01859182	Hewlett-Packard	AU hardware transactions from Q3 2009 through Q3 2011, with American International Group, Inc. ("AIG")		HP-SEC-177
HP-SEC-01859183	HP-SEC-01875693	Hewlett-Packard	AU hardware transactions from Q3 2009 through Q3 2011, with ACS, Dell, EMC, Hitachi, HP		HP-SEC-178
HP-SEC-01875691	HP-SEC-01876989	Hewlett-Packard	Handwritten notes related to Sushovan Hussain during his tenure at AU		HP-SEC-179
HP-SEC-01876990	HP-SEC-01877646	Hewlett-Packard	Documents pertaining to numerous AU software transactions from Q1 2009 to Q2 2011		HP-SEC-180
HP-SEC-01877647	HP-SEC-01877716	Hewlett-Packard	Documents pertaining to numerous AU software transactions from Q1 2009 to Q2 2011		HP-SEC-01877647-7716
HP-SEC-01877717	HP-SEC-01931753	Hewlett-Packard	Documents pertaining to HP's Form 8-K		HP-SEC-182
HP-SEC-01931754	HP-SEC-01962450	Hewlett-Packard	Documents relating to numerous AU software transactions from Q1 2009 through Q2 2011.		HP-SEC-183; HP-SEC-183_A (.PDF of HP-SEC-183)
MTVAU 000001	MTVAU 000055	Hewlett-Packard	Pleadings from MicroTech v. Autonomy		HP-Autonomy28
SEC-NX-HP-E-00000001	SEC-NX-HP-E-000473081	Hewlett-Packard	Electronic Data for custodian Stouffer Egan *REPRODUCED 6/7/2017, SAME DOCUMENTS, BEARING THE "OTHER BATES NUMBER"	USAO-SEC-EPROD-000000001 - USAO-SEC-EPROD-000473081	USAO-SEC-EPROD_20170503
UK_LITIGATION - DVD		Hewlett-Packard	DVD containing documents produced in the UK litigation relating to Autonomy.		UK_LITIGATION - DVD Password: N99adr
UK_LITIGATION - DVD1		Hewlett-Packard	Documents produced in the UK litigation relating to Autonomy (Autonomy billing systems, OEM invoices)		OEM Invoices.Zip Pw: 9C!BnK&_cp@xN?U-

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
UK_LITIGATION - DVD2		Hewlett-Packard	Documents produced in the UK litigation relating to Autonomy (Data Disclosure)		Disclosure.zip Pw: w5ukD@XF8%na8TmSD6
UK_LITIGATION - HDD1		Hewlett-Packard	Hard drive containing documents that HPE produced to or received from Mike Lynch and Sushovan Hussain in relation to the UK civil litigation.		UK_LITIGATION - HDD1
UK_LITIGATION - HDD2		Hewlett-Packard	Hard drive containing documents produced in the UK litigation relating to Autonomy		UK_LITIGATION - HDD2
UK_LITIGATION - HDD3		Hewlett-Packard	Hard drive, supplemental production related to documents produced in the UK litigation relating to Autonomy.		UK_LITIGATION - HDD3
UK_LITIGATION - HDD4		Hewlett-Packard	Data produced to Michael Lynch and Sushovan Hussain in the UK civil action.		UK_LITIGATION - HDD4
UK_LITIGATION - HDD5		Hewlett-Packard	Data produced to Michael Lynch and Sushovan Hussain in the UK civil action.		UK_LITIGATION - HDD5
UK_LITIGATION - HDD6		Hewlett-Packard	Documents produced in the UK litigation relating to Autonomy		UK_LITIGATION - HDD6 Password: cY?4vM86 LKD4xW9
UK_LITIGATION - USB		Hewlett-Packard	USB drive containing supplemental production related to documents produced in the UK litigation relating to Autonomy.		UK_LITIGATION - USB
USAO 00000001	USAO 00001129	Hewlett-Packard	H-P Presentation materials on February 26, 2014 (Microtech transactions)		BAT25
USAO 00000584	USAO 00001655	Hewlett-Packard	HP presentation to USAO	HP-SEC-01549782 - HP-SEC-01575649	HP-Autonomy19
USAO 00001130	USAO 00001177	Hewlett-Packard	HP presentation materials to USAO, June 5, 2015	HP-SEC-01575651 - HP-SEC-01575697	HP-Autonomy20
USAO 00001655	USAO 00001693	Hewlett-Packard	Scanned documents from HP related to filing of annual accounts	HP-SEC-01575611 - HP-SEC-01575649	HP-Autonomy20
USAO 00001694	USAO 00002232	Hewlett-Packard	Lynch responses to HP Particulars of Claim. Docs received without bates		BAT0045
US-SH-00000001	US-SH-00000015	Hewlett-Packard	HP-AU Index to Sushovan Hussain Revenue Spreadsheets (rcvd via e-mail without bates)		BAT0060a
VARIOUS	VARIOUS	Hewlett-Packard	Autonomy Financial Resutls. Documents were previously produced. (SEE COVERLETTER_MLB-20161213.001 for the list of bates number involved)		BAT0135
VARIOUS	VARIOUS	Hewlett-Packard	Previously produced documents concerning the 2011 accounts filed by Autonomy Systems Ltd. in January 2014. "Restatement Documents"		BAT0134
VARIOUS	VARIOUS	Hewlett-Packard	Top 40 lists native version (see COVERLETTER_MLB-20160829.001 for list)	NATIVES ON WORKFILES "2016-08-29" IN U DRIVE	MISC NATIVES
VARIOUS	VARIOUS	Hewlett-Packard	Brent Hogenson Supplemental Documents (see COVERLETTER_MLB-20150826.005 for the list of various bates number involved, all the documents identified had been prviously produced)		08262015BH
HDSC0000001	HDSC0011964	Hitachi Data Systems	No description in cover letter	SEC_2-000099749 - SEC_2-000111712	SF-03853
HDSC0011965	HDSC0018163	Hitachi Data Systems	No description in cover letter	SEC-FBI-000445062 - SEC-FBI-000446347	HP_Autonomy003



January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
HDSC0018164	HDSC0018244	Hitachi Data Systems	No description in cover letter	SEC-FBI-000019548 - SEC-FBI- 000019570	HP_Autonomy003
HDSC0018245	HDSC0018570	Hitachi Data Systems	No description in cover letter	SEC_2-000111713 - SEC_2-000112038	SF-03853
MS-HP-SEC-00000884-R MS-HP-SEC-00009883-R	MS-HP-SEC-00000885-R MS-HP-SEC-00009885-R	Hogan Lovells	Letter re privileged material related to Morgan Stanley	SEC-AUSA5-EPROD-000712221 - SEC-AUSA5-EPROD-000712223	SEC-AUSA5-EPROD_20150424
SEC-HSBC-E-0000001	SEC-HSBC-E-0000002	HSBC	HSBC Bank wire transfers	SEC-AUSA4-000211745 - SEC-AUSA4-000211746	SF-03853
SEC-HSBC-P-0002528	SEC-HSBC-P-0006421	HSBC	HSBC Bank USA, N.A. cancelled checks, withdrawals and deposits for Capax Global LLC Account Number 797024913 for period 1/1/09 - 1/31/11	SEC-AUSA4-000211747 - SEC-AUSA4-000212817	SF-03853
SEC-HSBC-P-0003599	SEC-HSBC-P-0005029	HSBC	Documents related to Capax Global LLC Checking Acocunt number 716951703 from 01/02/09-01/31/11	SEC-AUSA4-000212818 - SEC-AUSA4-000214248	SF-03853
SEC-HSBC-P-0005030	SEC-HSBC-P-0006421	HSBC	HSBC Bank USA, N.A. checks, withdrawals and deposits for Capax Global Account Number 716903610 and APHQ LLC Account Number 716935694 for period 1/1/09 - 12/31/11	SEC-AUSA4-000214249 - SEC-AUSA4-000215640	SF-03853
FBI 000025016	FBI 000030622	HSBC	HSBC Bank USA, N.A. checks, withdrawals and deposit with offsets for multiple Capax and APHQ accounts; Monthly bank statements for multiple Capax accounts; Monthly bank statements for Capax Global LLC accounts 716903610 and 797024913 for period 01/01/09-12/30/11		AU_0010
HY000001	HY000010	Hyatt	2012 Livevault agreement		BAT0091
Hyatt_00001	Hyatt_00003	Hyatt Hotels	Agreement between Hyatt and Autonomy entered into on 7/5/11	SEC_2-000746176 - SEC_2-000746176	SF-03853
Hyatt_00004	Hyatt_00287	Hyatt Hotels	Master Services Agreement between Hyatt and Dell Marketing	SEC_2-000746177 - SEC_2-000746460	SF-03853
HYATT_E0000001	HYATT_E0003491	Hyatt Hotels	Emails collected from twelve custodians for the time period January 1, 2011 through October 31, 2011: Banke, Cheri; Blake, Mike; DAmico, Rita; Ferraro, Megan; Jones, Margaret; Laclair, Laura; McIntyre, Maribeth; Romba, Bill; Stinsa, Paul; Sulkin, Ryan; Tamplin, Cliff; and Valdez, Norma.	SEC_2-000746461 - SEC_2-000749951	SF-03853
INSIGHT0000001	INSIGHT0000587	Insight Enterprises	Docs received in response to GJ Subpoena	SEC-FBI-000115939 - SEC-FBI-000521102	INSIGHT_FINAL
INSIGHT0000003	INSIGHT0000587	Insight Enterprises	Billing documents re to Insight's purchases from Autonomy and other docs from Reynolds, Anderson, Villanova, Culbreth, Collins, Rygel, and Rocchio	SEC-FBI-000115939 - SEC-FBI-000521102	INSIGHT_FINAL
INSIGHT0000588	INSIGHT0007275	Insight Enterprises	Docs received in response to GJ Subpoena		INSIGHT_PROD002-FINAL
I-000001	I-0000042	Iridian Asset Management LLC	Powerpoint slides	SEC-AUSA5-EPROD-000692442 - SEC-AUSA5-EPROD-000692483	SEC-AUSA5-EPROD_20150424
MLAT_AU 00000777	MLAT_AU 00004916	Italy	Docs produced via MLAT From Italy		BAT0159
MLAT_AU 00004917	MLAT_AU 00009056	Italy	Docs produced via MLAT From Italy		BAT0161

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
JCM000001	JCM00001265	Janus	One diskette containing copies of redacted emails that are bates stamped, the other contains .pst files exported from Clearwell.	SEC-AUSA8-EPROD-000002759 - SEC-AUSA8-EPROD-000004499	SF-03853_discs1-4
JS-000001	JS-000018	Joel Scott	Documents from Joel Scott		HP-Autonomy21
JS-000019	JS-000077	Joel Scott	Joel Scott, additional documents		BAT0172
SEC-JMB-E-0000001	SEC-JMB-E-0000128	John Marshall Bank	Bank statements for DiscoverTech account no. 056798 for the months of January 2010 to December 2011	SEC-AUSA4-000215641 - SEC-AUSA4-000215768	SF-03853
JPMC-AU-00000001	JPMC-AU-00009547	JP Morgan	Emails and attachments that have been identified as being potentially responsive to Request No.7 through Request No. 14.	SEC-FBI-000281690 - SEC-FBI-000284072	HP_Autonomy003
JPMC-AU-00009548	JPMC-AU-00020826	JP Morgan	Emails and attachments that have been identified as being potentially responsive to Request No.7 through Request No. 14.	SEC_2-001487725 - SEC_2-001484698	SF-03853
JPMC-AU-00020827	JPMC-AU-00035526	JP Morgan	Emails and attachments (see cover <u>letter</u> )	SEC-AUSA5-EPROD-000692484 - SEC-AUSA5-EPROD-000707183	SEC-AUSA5-EPROD_20150424
JPMC-AU-00035527	JPMC-AU-00040557	JP Morgan	See cover letter	SEC-AUSA5-EPROD-000707184 - SEC-AUSA5-EPROD- 000712214	SEC-AUSA5-EPROD_20150424
JPMC-HP-00000001	JPMC-HP-00000079	JP Morgan	Agreement between JP Morgan and Autonomy	SF-03853-AUSA-00053319 - SF-03853-AUSA-00058932	HP_AUTONOMY001
JPMC-HP-00000080	JPMC-HP-00000326	JP Morgan	Agreements between JPM and Autonomy re purchases	SF-03853-AUSA-00042988 - SF-03853-AUSA-00014535	HP_AUTONOMY001
JPMC-AU-DOJ-00000001	JPMC-AU-DOJ-00004926	JP Morgan Chase	Daud Khan's non-privileged electronic communications from January 1, 2010-8/3/12011 and JP Morgan Chase equity research reports related to Autonomy.		JPMCDOJ001
JPMC-AU-DOJ-00004927	JPMC-AU-DOJ-00005141	JP Morgan Chase	Analyst reports [JP Morgan Cazenove]		HP-Autonomy31
JPMC-AU-DOJ-00005142	JPMC-AU-DOJ-00005279	JP Morgan Chase	Daud Khan's non-privileged electronic communications from January 1, 2010-8/3/12011 and JP Morgan Chase equity research reports related to Autonomy.		JPMCDOJ003
JPMC-AU-DOJ-00005280	JPMC-AU-DOJ-00009213	JP Morgan Chase	Non-privileged e-communications, including attachments for custodians: Daud Khan, David Knox, and Peter Elwin		JPMCDOJ003a
US-JPMC-00000001	US-JPMC-00003230	JP Morgan Chase	Bank records related to David Truitt, in response to GJ subpoena		BAT0090
US-KVN-00000001	US-KVN-00000010	Keker & Van Nest	Docs related to Sushovan Hussain		BAT0077
US-KVN-00000011	US-KVN-00000015	Keker & Van Nest	Docs related to Sushovan Hussain		BAT0120
US-KVN-00000016	US-KVN-00000037	Keker & Van Nest	Docs related to Sushovan Hussain		BAT0126
KPMG00000001	KPMG0000154	KPMG	KPMG Draft Report: Autonomy -- closing balance sheet (including Statement of Work in appendix)		
KPMG0000155	KPMG0013271	KPMG	Docs from Gersh, Boggs, and Hanley	SF-03853-AUSA-00019226 - SF-03853-AUSA- 00022111	HP_AUTONOMY001
KPMG0084089		KPMG	Extract from Mr. Farhad Merchant 's time and expense report from KPMG's time and expense reporting system in existence in 2011		BAT0115

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
KPMG013272	KPMG026897	KPMG	Docs from Sien-Yee Chan, Chen, Lashua, Merchant, Thomas; plus eight suppl. documents from Gersh and Boggs	SEC_2-000762387 - SEC_2-000776012	SF-03853
KPMG026898	KPMG049198	KPMG	Docs from: Sien-Yee Chan, Chen, Lashua, Merchant, Numbers, Riyanto, Singh, Waters,	SEC-FBI-000641140 - SEC-FBI-000295808	HP_Autonomy003
KPMG049199	KPMG082326	KPMG	Documents from six custodians who performed work on the engagements: Jason Anglin, Principal (Advisory); Stephen Hung Quoc Dinh, Senior Associate (Advisory); Sukhpal Gill, Senior Manager (Tax); Deglan Reager, Senior Associate (Advisory); Russell Thomas, Partner (Tax); and Miharu White, Director (Advisory) between July 22, 2011 and February 28, 2012, concerning or reflecting KPMG's substantive work for HP regarding HP's acquisition of Autonomy (the "HP/Autonomy engagements"), as well as demonstrating KPMG's billing for the HP/Autonomy engagements	SEC-FBI-000329799 - SEC-FBI-000773164	HP_Autonomy003
KPMG082327	KPMG083944	KPMG	Documents collected from Kevin Gee, Chief Technology Officer, ITS Global, Global Services Center concerning or reflecting KPMG's negotiations to enter a contract with Autonomy for software and support services in late 2010 and early 2011.	SEC_2-000776013 - SEC_2-000777630	SF-03853
KPMG083945	KPMG084088	KPMG	Communications between KPMG's HP/Autonomy engagement team and HP personnel	SEC-AUSA4-000198898 - SEC-AUSA4-000199041	SF-03853
KFG000001	KFG000160	Kraft Foods Group	No description in cover letter.	SEC-FBI-000097541 - SEC-FBI-000104787	HP_Autonomy003
KFG000161	KFG000206	Kraft Foods Group	Documents responsive to Items 1 and 3 of subpoena.	SEC-FBI-000493051 - SEC-FBI-000493050	HP_Autonomy003
KFG000207	KFG009391	Kraft Foods Group	Documents responsive to Items 1, 2, 3 and 8 of subpoena	SEC_2-000749963 - SEC_2-000759147	SF-03853
KFG009392	KFG012630	Kraft Foods Group	Documents responsive to Items 1, 2, and 3 of subpoena	SEC_2-000759148 - SEC_2-000762386	SF-03853
KAI 007	KAI 808	Kroll Associates, Inc.	Documents related to communications between Andrew Cowan and Autonomy during relevant time period.		HP-Autonomy32
LANE000001	LANE00002	Lane, Raymond	No description in cover letter: "Additional Media"	SEC_2-001484593; SEC_2-001484605	SEC_2_20140131
LANE000003	LANE0000695	Lane, Raymond	No description in cover letter	SEC-FBI5-000065322 - SEC-FBI5-000066014	SEC-FBI5-20151001
RJL0000001	RJL0012873	Lane, Raymond	No description in cover letter	SEC_2-001253100 - SEC_2-001265972	SF-03853
RLJ0001800	RLJ003931	Lane, Raymond	No description in cover letter	SEC_2-001253100 - SEC_2-001265972	SF-03853
USAO-DTLLP-000001	USAO-DTLLP-000002	Latham & Watkins	Agreement		BAT0091
MRL00000001	MRL00002118	Lynch, Michael	Lynch submissions to SFO dated 5/28/13 and 8/7/13 received from Clifford Chance		HP_Autonomy008

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
MRL00002119	MRL00002262	Lynch, Michael	Copy of response to HP's Letter Before Claim dated 12/12/2014 produced by Clifford Chance	SEC-FBI5-000183166 - SEC-FBI5-000183309	BAT077 OR SEC-FBI5-20151001
US-MRL-00000001	US-MRL-00000043	Lynch, Michael	August 25, 2016 presentation to USAO on behalf of Dr. Michael Lynch, present by Clifford Chance		BAT0115
SEC-MTBANK-P-0000001	SEC-MTBANK-P-0000076	M&T Bank	No description in cover letter	SEC-AUSA4-000215769 - SEC-AUSA4- 000215844	SF-03853
MCA0000001	MCA0001965	McAfee, Inc.	Documents from the following custodians: McAfee Contract Repository; McAfee Financials; Boyarsky, Colleen; Cochran, Mark; Eldridge, Mark; Gunn, Tony; Hopkins, Carter; Maloney, Jennifer; McCutchen, Ron; Revak, Lacy; Reynolds, Christopher; Smith-Stansell, Chrissy; Willis, Ron	SEC_2-001484275 - SEC_2-001483947	SF-03853
MLIC 0000000	MLIC 0000115	MetLife Global	Application Service Provider Agreement		BAT0054
MLIC 0000116	MLIC 0001081	MetLife Global	Autonomy ASP Agreement		BAT0054
MLIC 0001082	MLIC 0001161	MetLife Global	Supplemental Production		BAT0054
MLIC 0001162	MLIC 0001301	MetLife Global	Docs received in response to GJ Subpoena		BAT0054
SEC-MBS-0000001	SEC-MBS-0000121	Metro Business Systems	No description in cover letter	SEC-FBI-000310691; SEC-FBI-000672181; SEC-FBI-000310734; (bates within these range)	HP_Autonomy003
SEC-MBS-E-0000001	SEC-MBS-E-0001728	Metro Business Systems	No description in cover letter	SEC-FBI-000530959; SEC-FBI-000122921; SEC-FBI-000531434; (bates within these range)	HP_Autonomy003
US-MBS-00000001	US-MBS-00001986	Metro Business Systems	Discovery rcvd from Metro Business Sytems in response to GJ Subpoena request		BAT0108
MICROTECH 000001	MICROTECH 000381	MicroTech	Docs responsive to Request #4	SEC_2-000781124 - SEC_2-000865325	SF-03853
MICROTECH 000382	MICROTECH 082909	MicroTech	No description in cover letter	SEC_2-000781124 - SEC_2-000865325	SF-03853
MICROTECH 082910	MICROTECH 084036	MicroTech	No description in cover letter	SF-03853-AUSA-00789930 - SF-03853-AUSA-00411667	HP_AUTONOMY002
MICROTECH 084037	MICROTECH 119515	MicroTech	Email files from Steve Truitt, Tomas Esterrich and Tony Jimenez	SEC_2-000781124 - SEC_2-000865325	SF-03853
MICROTECH 119516	MICROTECH 119681	MicroTech	No description in cover letter	SEC_2-000781124 - SEC_2-000865325	SF-03853
MICROTECH 119682	MICROTECH 146891	MicroTech	No description in cover letter	SEC_2-000781124 - SEC_2-000865325	SF-03853
MICROTECH 146892	MICROTECH 147598	MicroTech	Personnel files and wage information for Roger Channing, William Mayall, Alfredo Guzman, Earl Bentley and	SEC_2-000781124 - SEC_2-000865325	SF-03853
MICROTECH 147599	MICROTECH 236666	MicroTech	No description in cover letter	SEC_2-000781124 - SEC_2-000865325	SF-03853
MICROTECH 236667	MICROTECH 236670	MicroTech	Additkonal documents related to Micro Tech's bank accounts.	SEC_2-000781124 - SEC_2-000865325	Microtech OR SF-03853
MONDELEZ_000001	MONDELEZ_000128	Mondelez International	Primarily contracts entered into by Mondelez with the entities described in the Subpoena.	SEC-FBI-000086891 - SEC-FBI-000086958	HP_Autonomy003

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
MONDELEZ_000129	MONDELEZ_011433	Mondelez International	Invoices and related data from Mondelez's invoice repository as well emails and attachments from Mondelez personnel whose work involved Autonomy between January 1, 2009 and the present	SEC_2-000865326 - SEC_2-000876630	SF-03853
MS-DOJ-00000001		Morgan Stanley	Spreadsheet		BAT0120
MS-HP-SEC-00000001	MS-HP-SEC-00000214	Morgan Stanley	Chart re purchases/services made by Morgan Stanley	SF-03853-AUSA-00015989 - SF-03853-AUSA-00016020	HP_AUTONOMY001
MS-HP-SEC-00000215	MS-HP-SEC-00000218	Morgan Stanley	Statement of Work concerning purchase by Morgan Stanley of services from Autonomy	SF-03853-AUSA-00418238 - SF-03853-AUSA-00418241	HP_AUTONOMY002
MS-HP-SEC-00000219	MS-HP-SEC-00004697	Morgan Stanley	No description in cover letter	SEC-FBI-000345430 - SEC-FBI-000703933	HP_Autonomy003
MS-HP-SEC-00000884	MS-HP-SEC-00000885-R	Morgan Stanley		SEC-AUSA5-EPROD-000712219 - SEC-AUSA5-EPROD-000712220	SEC-AUSA5-EPROD_20150424
MS-HP-SEC-00004698	MS-HP-SEC-00017884	Morgan Stanley	No description in cover letter	SEC-FBI-000664928 - SEC-FBI-000310310	HP_Autonomy003
MS-HP-SEC-00009883	MS-HP-SEC-00009885-R	Morgan Stanley		SEC-AUSA5-EPROD-000712221 - SEC-AUSA5-EPROD-000712223	SEC-AUSA5-EPROD_20150424
US-MRL-00000044		MRL	Spreadsheet		BAT0172
US-NBC 0000001	US-NBC 0000131	National Bank of Canada	Documents received from Gavin Lemoine		BAT0042
NUM 000001	NUM 006094	Numis Securities	Research reports prepared by Numis Securitieson Autonomy for period Q12009 through Q2 2011.		HP-Autonomy28
OFI_HP 0000108	OFI HP 0001342	Oppenheimer Funds	1) DCF for Autonomy from the period set forth in the Subpoena (June 1, 2010 through October 3, 2011) (OFI_HP 0000108); 2) Fund documents for the period 2009-2011 : Fund Prospectuses, Fund Summary Prospectuses, Fund Annual Reports, Fund Semiannual Reports, Fund Commentaries, Fund Fact Sheets and Fund Statements of Additional Information (OFI_HP 0000109 to OFI_HP 0000534)	SEC-FBI5-000183316 - SEC-FBI5-000184550	SEC-FBI5-20151001
OFI_HP_0000001	OFI_HP_0000107	Oppenheimer Funds	2010 to October 3, 2011(the "Relevant Period") by the funds for which OFI or its subsidiaries served as investment adviser; (2) a spreadsheet showing the quarterly unrealized gains/losses in Autonomy during the Relevant Period by the funds for which OFI or its subsidiaries served as investment adviser. These documents also include notes about Autonomy maintained during the Relevant Period by Rob Dunphy and Heidi Heikenfeld; (3) emails between OFI investment professionals and representatives of Autonomy management during the Relevant Period	SEC-AUSA5-EPROD-000712225 - SEC-AUSA5-EPROD-000712330	SEC-AUSA5-EPROD_20150424
ORCL-HP-000001	ORCL-HP-000076	Oracle	Responsive does from searches of Doug Kehring's emails.	SF-03853-AUSA-00022661 - SF-03853-AUSA-00022646	HP_AUTONOMY001
USAO_OHS 00000001	USAO_OHS 00000061	Orrick, Herrington & Sutcliffe LLP	Documents provided relating to Chris Yelland		BAT0175
PAULSON00000001	PAULSON00000002	Paulson & Co., Inc.	GJ response to request #1		BAT0085
PAULSON00000003	PAULSON00000076	Paulson & Co., Inc.	GJ response to request #4		BAT0085

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
PWP-HP-SEC00000001	PWP-HP-SEC00000241	Perella Weinberg	Perella's deal file re HP/Autonomy acquisition	SEC_2-000879024 - SEC_2-000879264	SF-03853
PWP-HP-SEC00000242	PWP-HP-SEC00313326	Perella Weinberg	Docs from deal file re HP/Autonomy acquisition	SF-03853-AUSA-00126767 to 00614776	HP_AUTONOMY001
PWP-HP-SEC00313327	PWP-HP-SEC00341191	Perella Weinberg	No description in cover letter	SF-03853-AUSA-00141614 - SF-03853-AUSA-00223114	HP_AUTONOMY002
PWP-HP-SEC00341192	PWP-HP-SEC00428299	Perella Weinberg	No description in cover letter	SEC-FBI-000473095 various random bates	HP_Autonomy003
RP 00001	RP 00607	Perle, Richard	No description in cover letter.	SEC-AUSA4-000211136 - SEC-AUSA4-000211743	SF-03853
PFE_0000001	PFE_0000021	Pfizer	September 2009 software licensing agreement between Autonomy and Pfizer, and (2) the second amendment to that agreement	SEC-FBI-000112181 - SEC-FBI- 000112182; SEC-FBI-000515196 - SEC-FBI- 000515195	HP_Autonomy003
PFE_0000022	PFE_0000156	Pfizer	Invoices related to the previously-produced September 2009 software licensing agreement between Autonomy and Pfizer	SEC_2-000878888 - SEC_2-000879023	SF-03853
SEC-PRASAD-E-0000001	SEC-PRASAD-E-0000012	Prasad, Reena	Settlement Agmt and Declaration for Prasad	SEC_2-001477596 - SEC_2-001477607	SF-03853
PR00000001	PR00005302	Proskauer	Underlying materials referenced in February 7, 2014 presentation to SEC and the DOJ, organized in folders that correspond with each slide in the presentation	SEC-AUSA4-000199046 - SEC-AUSA4-000204347	SF-03853
PR00005303	PR00005304	Proskauer	One additional slide related to underlying materials referenced in February 7, 2014 presentation to SEC and the DOJ	SEC-AUSA4-000204348 - SEC-AUSA4-000204349	SF-03853
PR00005305	PR00012088	Proskauer	Materials related to certain value added reseller transactions and OEM transactions that Autonomy entered into with various customers, many of which Autonomy identified to HP as top contracts for fiscal years 2010-11 in a Top 40 contracts list provided to HP during the formal due diligence process.	SEC-AUSA4-000204350 - SEC-AUSA4-000211133	SF-03853
PR00012089	PR00013460	Proskauer	Documents related to certain transactions that Autonomy categorized as OEM from Q1 2009 through Q2 2011.	SEC-AUSA5-EPROD-000712331 - SEC-AUSA5-EPROD-000713702	SEC-AUSA5-EPROD_20150424
SEC-Proskauer-E-0000001	SEC-Proskauer-E-0000066	Proskauer	Annual compensation information, including bonus and exercised share options since 2009, for Messrs. Lynch, Hussain, Chamberlain, Kanter, Egan, and Scott, received from Autonomy Human Resources personnel	SEC-AUSA4-000215845 - SEC-AUSA4-000215910	SF-03853
QAT-SEC00000001	QAT-SEC00005823	Qatalyst	Non-email docs responsive to requests 1-5	SF-03853-AUSA-00040013 to 00014344	HP_AUTONOMY001
QAT-SEC00005824	QAT-SEC00011278	Qatalyst	First set of responsive emails and email attachments	SF-03853-AUSA-00034143 - SF-03853-AUSA-00007555	HP_AUTONOMY001
QAT-SEC00011279	QAT-SEC00032863	Qatalyst	Docs responsive to requests 1-3 and 5-8 (nothing re #4)	SF-03853-AUSA-00722691 - SF-03853-AUSA- 00733944	HP_AUTONOMY002
QAT-SEC00032864	QAT-SEC00044163	Qatalyst	Records for Allan Bressers; Brian Cayne; Nadja Gehriger; Michael Hughes; Ian MacLeod and Qatalyst Partners LP	SF-03853-AUSA-00428194 - SF-03853-AUSA-00069483	HP_AUTONOMY002

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
QAT-SEC00044164	QAT-SEC00045519	Qatalyst	Docs/files from: Qatalyst: Qatalyst Partners LP; Frank Quattrone; Peter Spofforth; Jean Tardy-Joubert; Jonathan Turner; and Ross Weiner.	SEC-FBI-000412561 - SEC-FBI-000412532	HP_Autonomy003
QAT-SEC00045520	QAT-SEC00047453	Qatalyst	no description in cover letter	SEC-AUSA5-EPROD-000713703 - SEC-AUSA5-EPROD-000715636	SEC-AUSA5-EPROD_20150424
RJA-HEWLETT PACKARD-000001	RJA-HEWLETT PACKARD-000146	Raymond James	Documents received in response to GJ subpoena		BAT0169
RBC -NDCA00000103	RBC-NDCA00000114	RBC Capital Markets, LLC	Additional documents from RBCCM, research reports for HP		BAT0155
RBC-NDCA00000001	RBC-NDCA00000102	RBC Capital Markets, LLC	RBCCM research reports for HP from July 2011 - December 2011.		BAT0155
RBC-NDCA00000115	RBC-NDCA00001507	RBC Capital Markets, LLC	Documents related to Amit Daryanan interview of 2/13/17 in re with research report of HP in 2011		BAT0176
RBC-NDCA00001508		RBC Capital Markets, LLC	Blue sheet data		Password: <b>Broderick2017!</b>
ROBISON000001	ROBISON000751	Robison, Shane	Documents responsive to Requests 1-3	SEC-FBI-000702546; SEC-FBI-000702973	HP_Autonomy003
ROBISON000752	ROBISON001838	Robison, Shane	Documents responsive to Requests 1-3	SEC-FBI-000671422 - SEC-FBI-000671742	HP_Autonomy003
ROBISON001839	ROBISON002036	Robison, Shane	Documents responsive to Requests 1-3	SEC_2-001265973 - SEC_2-001266170	SF-03853
ROBISON002037	ROBISON002058	Robison, Shane	Documents responsive to Requests 1-3	SEC-FBI5-000184551 - SEC-FBI5-000184572	SEC-FBI5-20151001
SFPD 000001	SFPD 000185	San Francisco Police Department	Documents regarding embezzlement investigation		HP-Autonomy23
SCOTT000000001		Scott, Joel	Document produced by Joel Scott	SEC-AUSA5-EPROD-000723184	SEC-AUSA5-EPROD_20150424
BANA_MLI_HPAUTO036876	BANA_MLI_HPAUTO036887	SEC	B of A Summary Memo		BAT0045
SEC-AUSA5-EPROD-000000001	SEC-AUSA5-EPROD-000725631	SEC	Provided separate index for description and bates range of documents		SEC-AUSA5-EPROD_20150424
SEC-FBI5-00000001	SEC-FBI5-000189769	SEC			SEC-FBI5-20151001
US_SEC_EX 00000001	US_SEC-EX 00010075	SEC	SEC exhibits		BATCH0166-168
USAO-SEC-EPROD-000000001	USAO-SEC-EPROD-000473081	SEC	Electronic Data for custodian Stouffer Egan	SEC-NX-HP-E-000000001 to SEC-NX-HP-E-000473081	USAO-SEC-EPROD_20170503
USAO-SEC-EPROD-000473082	USAO-SEC-EPROD-003749579	SEC	Electronic data production from SEC that include: (1) electronic data pull, including natives, from the files of custodian Lynch, Hussain, Chamberlain, Kanter, Scott, and Menell; (2) documents produced by HP; (3) documents produced by parties other than HP (Bank of America, Counsel for Mike Lynch).	SEC-NX-HP-E-000473082 to SEC-NX-HP-E-002431375	SF-03853
US-PWC 00000001	US-PWC 00004394	SEC	PWC report attachments		HP-Autonomy011
US-SEC 00000001	US-SEC 00000031	SEC	Presentation to US SEC, November 16, 2012		HP_Autonomy008
US-SEC 00000231	US-SEC 00001172	SEC	SEC Transcripts of Proceedings		PROTECTED MATERIALS
US-SEC 00001173		SEC	Audio recordings of telephonic interview		PROTECTED MATERIALS
US-SEC 00001174	US-SEC 00001175	SEC	Audio recordings of telephonic interview: William Marengo, 1/12/15; Frank Cooke, 1/15/15		BAT0152
US-SEC 00001176	US-SEC 00001394	SEC	Documents related to C. Lesjak		BAT0174



January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
US-SEC 00001395	US-SEC 00001396	SEC	Bluesheet records reflecting trades in HP stock, 10/15/12-10/23/13		BAT0174
US-SEC-00000032	US-SEC-00000230	SEC	HP presentation materials to SEC		HP-Autonomy009
		SEC	CD containing bluesheet records reflecting trades in HP stocks from 10/15/12 to 10/23/12.		INCLUDED IN RECORDS FROM FBI
US-SFO 00000001	US-SFO 00000567	Serious Fraud Office	Transcript of an interview, Lee Welham of Deloitte, 10/11/2013.		PROTECTED MATERIALS
US-SFO 00000568	US-SFO 00000925	Serious Fraud Office	Witness statements		BAT0173
SHI-0000001	SHI-0010366	SHI International	Discovery productions in response to GJ subpoena		DOJ Production VOL001
SHI000001	SHI001955	SHI International	Documentation relating to the purchase of Dell equipment from Autonomy, and emails relating to correspondence with Autonomy during the period of January 1, 2009 through December 31, 2012. See also 4/16/2013 cover letter.	SEC-FBI-000521403 - SEC-FBI-000522851	HP_Autonomy003
SHI001956		SHI International	Updated version of Excel spreadsheet, sent to SEC in 2013 titled "SHI Data Accumulation of AX Records"		BAT0172
		SHI International	Pivot table from prior spreadsheet reflecting quantity of orders that were made with each manufacturer		MISC NATIVES
US-MCA_RW 00000001	US-MCA_RW 00002181	Sidley Austin	Documents relating to Ron Wills		BAT0059
US-SH-00000016	US-SH-00000117	Simmons&Simmons	Letter from Simmons and Simmons to Travers Smith LLP constituting Hussein's response to 12/12/14 Letter Before Action (LBA).		BAT0077
US-SC-00000001	US-SC-00000002	Stephen Chamberlain	Docs related to Stephen Chamberlain		BAT0126
US-SH-00000118	US-SH-00000119	Sushovan Hussain	Docs related to Shushovan Hussain		BAT0126
US_JK 00000001	US_JK 00000054	Swanson & McNamara	Documents related to Jim Krokoski		BAT0126
US_MG 00000001	US_MG 00000094	Swanson & McNamara	Documents related to Marc Geall		BAT0126
TRP-00001	TRP-01446	T. Rowe Price	GJ Response from T. Rowe Price		BAT0075
TARGET001	TARGET061	Target	Document production from Target related to AU		BAT0129
TRM 00001	TRM 00250	Thomson Reuters	Documents received in response to GJ Subpoena		HP-Autonomy26
TIAA-SAT0000001	TIAA-SAT0000114	TIAA-CREF	documents from the files of Jason Campbell, George Saterson and Thomas Franks, portfolio managers	SEC-AUSA8-EPROD-000090866 - SEC-AUSA8-EPROD-000090979	SF-03853_discs1-4
TIAA-FRA0000001	TIAA-FRA0000139	TIAA-CREF	documents from the files of Jason Campbell, George Saterson and Thomas Franks, portfolio managers	SEC-AUSA8-EPROD-000078008 - SEC-AUSA8-EPROD-000078146	SF-03853_discs1-4
TIAA-FRA0000140	TIAA-FRA00-12858	TIAA-CREF	documents from the files of George Saterson and Thomas Franks, portfolio managers	SEC-AUSA8-EPROD-000078147 - SEC-AUSA8-EPROD-000090865	SF-03853_discs1-4
TIAA-CAM0000001	TIAA-CAM0005067	TIAA-CREF	documents from the files of Jason Campbell, George Saterson and Thomas Franks, portfolio managers	SEC-AUSA8-EPROD-000011193 - SEC-AUSA8-EPROD-000016259	SF-03853_discs1-4

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
TIAA-CAM0005068	TIAA-CAM0066815	TIAA-CREF	documents from the files of Jason Campbell	SEC-AUSA8-EPROD-000016260 - SEC-AUSA8-EPROD-000078007	SF-03853_discs1-4
TIAA-SAT0000115	TIAA-SAT0040526	TIAA-CREF	documents from the files of George Saterson and Thomas Franks, portfolio managers	SEC-AUSA8-EPROD-000090980 - SEC-AUSA8-EPROD-000131391	SF-03853_discs1-4
TRUITT000001	TRUITT06526	Truitt, David	No description in cover letter	SEC-FBI-000383635; SEC-FBI-000385261; SEC-FBI-000743276 (random bates within these range)	HP_Autonomy003
TRUITT006527	TRUITT007494	Truitt, David	No description in cover letter	SEC-FBI5-000184881 - SEC-FBI5-000185848	SEC-FBI5-20151001
TRUITT007495	TRUITT007497	Truitt, David	Autonomy invoice and journal details.		HP-Autonomy17
TRUITT007498	TRUITT007502	Truitt, David	(1) a cover letter responding to the subpoena, (2) a copy of the subpoena itself, and (3) a letter sent to the SEC's Freedom of Information Act office requesting confidential treatment of the production.	SEC-AUSA5-EPROD-000723918 - SEC-AUSA5-EPROD-000723922	SEC-AUSA5-EPROD_20150424
TRUITT007503	TRUITT009194	Truitt, David	Documents constituting monthly statements for all cellular telephones used from 2009 to 2012.	SEC-AUSA5-EPROD-000723923 - SEC-AUSA5-EPROD-000725614	SEC-AUSA5-EPROD_20150424
TRUITT 000001	TRUITT 000028	Truitt, Steve	email correspondence from Truitt's personal (Yahoo) account to persons identified in the subpoena	SEC-FBI-000383635; SEC-FBI-000385261; (random within these range)	HP_Autonomy003
TSI-DOJ0000001		Two Sigma Investments	partial production in response to GJ subpoena.		TSI-DOJ-001
TSI-DOJ0000002	TSI-DOJ0008065	Two Sigma Investments	Document production from TSI in response to subpoena		TSI-DOJ-003
US-AF 00000001	US-AF 00000735	U.S. Air Force	Responses from: Chamberlain, Capax, Truitt, Discover Tech, FileTek, Hussain, Lynch, Kanter, Scott, and Egan		HP-Autonomy009 AND HP-Autonomy010
US-AF 00000736	US-AF 00000777	U.S. Air Force	Submissions to Air Force		BAT16_A
UBS00000001	UBS00006420	UBS	UBS documents in response to GJ Subpoena request		BAT0106 (CL ONLY)
UBSS-000001	UBSS-000665	UBS	Research reports prepared by UBS on Autonomy for period Q12009 through Q2 2011.		HP-Autonomy27
UBSS-000001	UBSS-000613	UBS Securities	Responsive emails for 13 U.S.-based custodians from August-October 2011		HP-Autonomy27
UBSS-000614	UBSS-000871	UBS Securities	Documents related to UBS/Autonomy in connection with two distinct relationships: (1) E-CAMS (Email Communication Archiving Mechanism System) and (2) EAS, a renewable licensing agreement	SEC-FBI-000035985 - SEC-FBI-000038627 (random within this range)	HP_Autonomy003
MLAT_AU 00000539	MLAT_AU 00000776	UK	Docs produced via MLAT from UK		BAT0146
MLAT_AU 00009057	MLAT_AU 00009172	UK	Documents produced by UK		BAT0165
MLAT_AU 00009173	MLAT_AU 00009391	UK	Documents produced by UK		BAT0177
DEL00000001	DEL00380017	UK (FCA) / Deloitte UK docs	Documents obtained by the FCA from Deloitte UK	SEC_2-001491375 - SEC_2-001871391	SF-03853
US_GJX-00000001	US_GJX-00005268	USAO	Grand Jury Exhibits		BAT0123

January 27, 2020

BEGINNING BATES NUMBER	ENDING BATES NUMBER	SOURCE	DESCRIPTION	OTHER BATES NUMBER	e-FOLDER NAME
US_GJX-00005269	US_GJX-00005271	USAO	These are gaps in bates numbering. (no document related to this bates range, due to error in processing)		
US_GJX-00005272	US_GJX-00005334	USAO	Additional GJ exhibits		BAT0157
US_GJX-00005335	US_GJX-00005370	USAO	GJ index, in numerical order		BAT0157
US_GJX-00005371	US_GJX-00005477	USAO	Additional GJ exhibits		BAT0184
USAO 00002239	USAO 00002275	USAO	Docs related to AU "Investor's Question Board"		BAT0126
USAO 00002276	USAO 00002571	USAO	18 U.S.C. 3292 applications/declarations/orders		BAT0130
USAO 00002572	USAO 00002575	USAO	E-mail request from USAO to MLB, re written summaries of witness interviews.		BAT0171
USAO 00002576	USAO 00002577	USAO	Confidentiality agreement between USAO and MLB		BAT0171
USAO 00002578	USAO 00002606	USAO	Proffer, tolling, and other agreements		BAT0171
USAO 00002607	USAO 00002610	USAO	GJ Subpoena to HP, 7/22/16		BAT0171
USAO 00002611	USAO 00002613	USAO	E-mail from USAO to Brooks with attachment "Brady Letter"		BAT0171
USAO 00002614	USAO 00002617	USAO	Letter from Morgan Lewis, dtd 4/21/17, HP's post-acquisition legal representation relating to pre-acquisition accounting improprieties on the part of AU		BAT0171
USAO 00002618		USAO	E-mail from USAO to Morgan Lewis relating to HP-Deloitte Settlement		BAT0171
USAO 00002619		USAO	E-mail from USAO to James Farrell relating to HP-Deloitte Settlement		BAT0171
USAO 00002620	USAO 00002624	USAO	E-mail from USAO to Morgan Lewis relating to Microtech case		BAT0171
USAO 00002625	USAO 00002645	USAO	E-mail from Alex Paul to USAO related to Automy matter		BAT0182
USAO_GJTX 00000001	USAO_GJTX 00005231	USAO	Grand Jury Transcripts		PROTECTED MATERIALS
USAO_GJTX 00005232	USAO_GJTX 00005511	USAO	Additional GJ Transcripts		BAT0184
USAO-EMAIL 000001	USAO-EMAIL 016920	USAO	USAO e-mail communications		HPA_RSL20170414 & HPA_AAR20170419
USAO-EMAIL 016921	USAO-EMAIL 017471	USAO	Additional USAO e-mail communications		HPA_AAR20170427
USAO-EMAIL 017472	USAO-EMAIL 021808	USAO	Additional USAO e-mail communications		EML0501
USAO-EMAIL 021809	USAO-EMAIL 022345	USAO	Additional USAO e-mail communications		BAT0185
MLAT_AU 00000001	MLAT_AU 00000538	Vatican	Docs produced via MLAT from Vatican		BAT0073
US-VERIZON 00000001	US-VERIZON 00000026	Verizon	Docs received in response to GJ Subpoena		BAT0057
SEC-WFB-E-0000001	SEC-WFB-E-0000546	Wells Fargo	No description in email	SEC-AUSA5-EPROD-000723367 - SEC-AUSA5-EPROD-000723912	SEC-AUSA5-EPROD_20150424
US-WEST-00000105		West Corporation	CD containing detail records for toll free phone number (866) 409-2889		BAT0115 (CD PLACEHOLDER)
WHITMAN0000001	WHITMAN0000229	Whitman, Meg	No description in cover letter.	SEC-FBI-000273728 - SEC-FBI-000630210	HP_Autonomy003
ZON0000001	ZON0000116	Zones, Inc.	Records from custodian Ron McFadden. (Original CD not with transmittal letter).	SEC-FBI-000521287; SEC-FBI-000116075: (random within these range)	HP_Autonomy003
ZONES_DOJ0000001	ZONES_DOJ0004791	Zones, Inc.	Production of documents in response to GJ Subpoena		BAT0103-103A
US-PM-0000001	US-PM-00000143	Mazars	Docs from Mazar's production		BAT0043